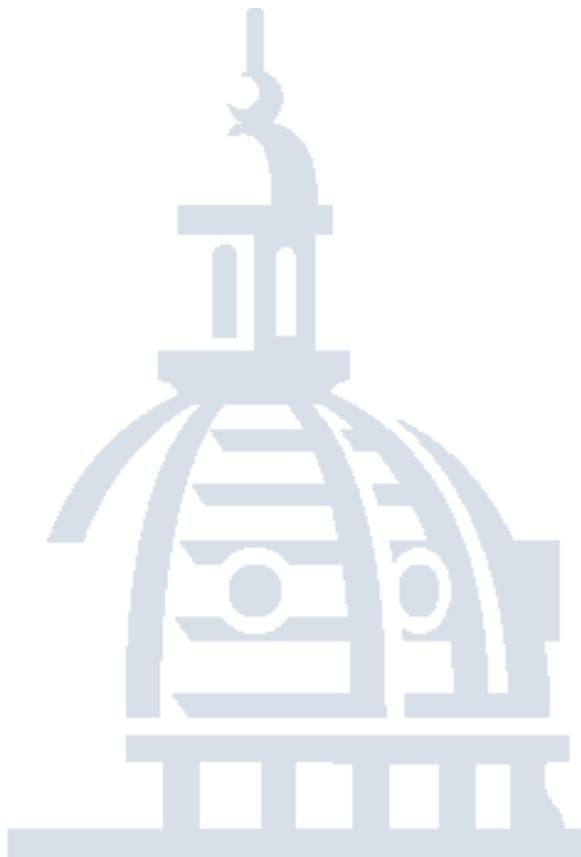

**ADMINISTRATION AND REGULATION
APPROPRIATIONS SUBCOMMITTEE
ANALYSIS OF THE
FY 2014 GOVERNOR'S RECOMMENDATIONS**



FISCAL SERVICES DIVISION

JANUARY 2013



TABLE OF CONTENTS

| | Page |
|--|------|
| Subcommittee Members and Staff | 1 |
| Summary of FY 2014 Recommendations | 3 |
| Department of Administrative Services..... | 4 |
| Auditor of State..... | 6 |
| Ethics and Campaign Disclosure..... | 7 |
| Department of Commerce | 9 |
| Iowa Telecommunications and Technology Commission | 11 |
| Office of Governor and Lieutenant Governor..... | 12 |
| Governor's Office of Drug Control Policy..... | 13 |
| Department of Human Rights..... | 15 |
| Department of Inspections and Appeals | 16 |
| Department of Management..... | 19 |
| Public Information Board..... | 20 |
| Department of Revenue..... | 22 |
| Secretary of State..... | 24 |
| Treasurer of State | 25 |
| Iowa Public Employees Retirement System..... | 27 |
| Comparison to Other States – Presidential Elections Voting..... | 28 |
| LSA Publications..... | 28 |
| Appendix A – General Fund Tracking | 31 |
| Appendix B – Other Fund Tracking..... | 37 |
| Appendix C – FTE positions Tracking | 41 |
| Appendix D – Historical Appropriations: FY 2005 – Gov Rec FY 2014 | 49 |
| Appendix E – Sample of Budget Unit Schedules 1 and 6..... | 69 |
| Appendix F – FY 2012 Year-End Appropriations | 73 |

ADMINISTRATION AND REGULATION APPROPRIATIONS SUBCOMMITTEE MEMBERS

SENATE

Liz Mathis, Chairperson
Jeff Danielson, Vice Chairperson
Dennis Guth, Ranking Member
Chris Brase
Joni Ernst

HOUSE

Ralph Watts, Chairperson
Tedd Gassman, Vice Chairperson
Dan Kelley, Ranking Member
Bruce Hunter
Joe Riding
Jason Schultz
Art Staed
Quentin Stanerson
Guy Vander Linden

LEGISLATIVE SERVICES AGENCY

Fiscal Services Division

Dwayne Ferguson (515-281-6561)
Dwayne.Ferguson@legis.iowa.gov

Ron Robinson (515-281-6256)
Ron.Robinson@legis.iowa.gov

Legal Services Division

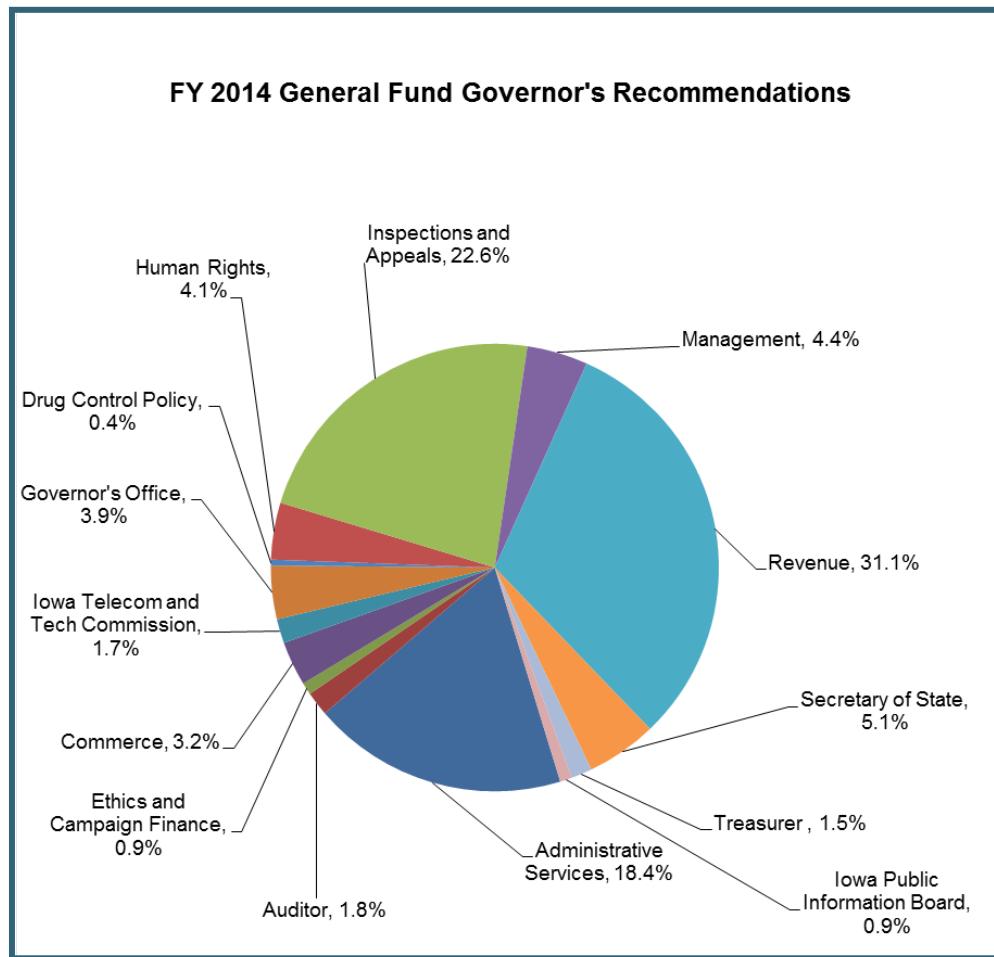
Ed Cook (515-281-3994)
Ed.Cook@legis.iowa.gov

Tim McDermott (515-281-8090)
Timothy.McDermott@legis.iowa.gov

Administration and Regulation Appropriations Subcommittee

Fiscal Staff:
Dwayne Ferguson and Ron Robinson

Analysis of Governor's Budget



FY 2014 General Fund Governor's Recommendations

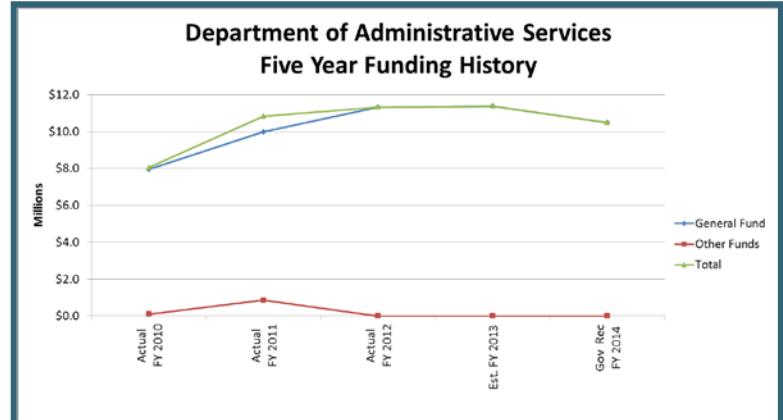
| | |
|----------------------------------|----------------------|
| Administrative Services | \$ 10,473,753 |
| Auditor | 995,968 |
| Ethics and Campaign Finance | 490,000 |
| Commerce | 1,820,744 |
| Iowa Telecom and Tech Commission | 992,913 |
| Governor's Office | 2,194,914 |
| Drug Control Policy | 240,000 |
| Human Rights | 2,334,285 |
| Inspections and Appeals | 12,865,603 |
| Management | 2,498,998 |
| Revenue | 17,659,484 |
| Secretary of State | 2,895,585 |
| Treasurer | 854,289 |
| Iowa Public Information Board | 490,000 |
| Total | <u>\$ 56,806,536</u> |

DEPARTMENT OF ADMINISTRATIVE SERVICES

Overview and Funding History

Agency Overview: The [Department of Administrative Services \(DAS\)](#) was established in 2003 by legislative action and consists of four enterprises that provide infrastructure and facilities services to other agencies of State government. These enterprises include the Information Technology Enterprise, General Services Enterprise, Human Resources Enterprise, and State Accounting Enterprise.

Funding History: The Department receives about one tenth of its funding for operations through appropriations. The remainder is funded primarily by fees charged to other State agencies for services provided and are not reflected in the appropriations funding history chart. The appropriations increase in FY 2011 is primarily due to a one-time General Fund appropriation to implement the government information technology services provisions in SF 2088 (FY 2011 Government Reorganization and Efficiency Act). The FY 2012 increase comes mainly from shifting the I/3 Distribution appropriation and the Iowa Building appropriation from the Transportation Appropriation Subcommittee's Rebuild Iowa Infrastructure Fund (RIIF) Appropriation to the DAS General Fund appropriation.



FY 2014 Quick Facts - DAS

\$109.4 million

Total Enterprise Budgets

90.4%

Funding from charges to State agencies

9.6%

General Fund appropriations

Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations totaling \$10.5 million. This is a decrease of \$902,000 compared to estimated FY 2013.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$10.5 million. This is no change compared to the Governor's FY 2014 recommendation.

General Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|---|----------------------|-------------------------|--------------------|---------------------------|
| <u>Administrative Services, Dept. of</u> | | | | |
| Administrative Services | | | | |
| <u>Administrative Services, Dept.</u> | \$ 4,020,344 | \$ 4,020,322 | \$ 4,020,322 | \$ -22 |
| Utilities | 2,676,460 | 2,676,460 | 2,676,460 | 0 |
| Terrace Hill Operations | 405,914 | 405,914 | 499,025 | 93,111 |
| I3 Distribution | 3,277,946 | 3,277,946 | 3,277,946 | 0 |
| Iowa Building Operations | 995,535 | 995,535 | 0 | -995,535 |
| Total Administrative Services, Dept. of | \$ 11,376,199 | \$ 11,376,177 | \$ 10,473,753 | \$ -902,446 |

Governor's Recommendations – Significant Changes

| Department of Administrative Services | |
|---|-----------|
| An increase to move two staff for the Governor's Office Terrace Hill Quarters appropriation into the DAS Terrace Hills Operations appropriation. | \$ 93,111 |
| A decrease to eliminate funding for Iowa Building Operations (former Mercy Capitol Building). Current plans call for the building to be razed in FY 2014 with the costs paid through major maintenance. | -995,535 |

Issues

I/3 Distribution Appropriation – Senate File 2313 (FY 2013 Administration and Regulation Appropriations Act) appropriated \$3.3 million to be distributed to other governmental entities based upon a formula established by the DAS to pay for services associated with the I/3 system. After distribution, the funding would have become part of the agencies' base budgets and would pay for DAS services in the typical manner. There would be no need to supplement agencies' budgets in the future. These funds were not distributed to other agencies; DAS indicated it lacked the authority to distribute the funds. DAS is again requesting funding for FY 2014. *The Governor is recommending continued funding of this appropriation.*

Budget Reduction and Outsourcing Services – The Department is pursuing a goal of reducing expenditures by 15.0%. Janitorial services for the Grimes, Hoover, and Jessie Parker Buildings have been outsourced, and construction project management has been switched from a “general contractor” approach to a “construction manager” approach. The outsourcing permitted DAS to eliminate 14 vacant janitor positions, and DAS estimates janitorial service costs for these three buildings were reduced by 43.1%. The Architectural and Engineering Services Section laid off six staff for an estimated salary savings of \$730,000. The Core Finance Group was reorganized and positions with redundant or unnecessary duties were eliminated. Ten of the Department’s supervisors (approximately one-third of the supervisors) left State employment, and seven of the positions were filled by new hires and four by promotions; this is approximately twice the supervisory turnover rate for the entire Executive Branch.

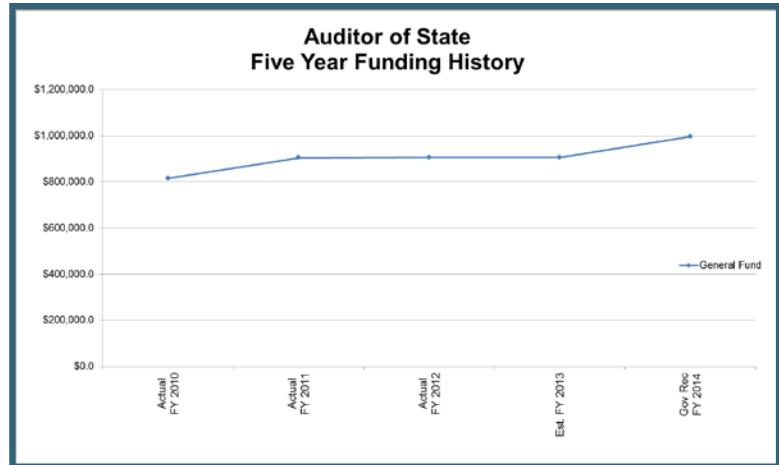
Fees for Services – The Department has an operating budget of \$109.4 million for FY 2014, including a Governor's recommendation of \$10.5 million from the General Fund, with the remainder from fees for services. The Subcommittee may want to examine the fee for service process.

Consolidation of Services – The Department is continuing consolidation within the Information Technology Enterprise. The Subcommittee may want to examine the efficiencies produced by consolidations.

AUDITOR OF STATE

Overview and Funding History

Agency Overview: The position of [Auditor of State](#) was created in 1857 with [Article IV, Section 22](#), of the Iowa Constitution. The Auditor is elected by popular vote and serves a four-year term. The duties of the Office are spelled out in Iowa Code chapter [11](#). The mission of the Office of the Auditor of State is to benefit all citizens of Iowa by providing independent audit, review, and other technical services to State and local governments to ensure the effective, economical, and businesslike conduct of public activities in a prudent, accountable manner to achieve the intended purposes. The Auditor's Office provides independent audits of the financial operations of State and local governments. The Office also reviews government activities to help ensure they are conducted in an effective, efficient, and legal manner.



FY 2012 Quick Facts – Auditor

95,796

Audit Hours Expended on State Audits during FY 2012

48,147

Audit Hours Expended on Local Audits during FY 2012

Funding History: The Auditor of State Office

receives an annual appropriation from the General Fund to fund costs of conducting audits of State departments that are not statutorily required to reimburse the Office for annual audit costs. The FY 2011 increase is related to the restoration of the FY 2010 10.0% Across-The-Board budget reduction. The Actual FY 2009 appropriation was \$1.2 million. In addition to the General Fund appropriation, the Office receives fees for conducting audits and reviews of certain State agencies and governmental subdivisions (see Iowa Code sections [11.20](#) and [11.21](#) for subdivision fees).

Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations of \$996,000. This is an increase of \$91,000 compared to estimated FY 2013 to restore a portion of the FY 2010 reductions. The Actual FY 2009 appropriation was \$1.2 million.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$1.0 million. This is an increase of \$42,000 compared to the Governor's FY 2014 recommendation to restore a portion of the FY 2010 reductions.

General Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|---|----------------------|-------------------------|--------------------|---------------------------|
| Auditor of State | | | | |
| Auditor Of State | | | | |
| Auditor of State - General Office | \$ 905,468 | \$ 995,968 | \$ 995,968 | \$ 90,500 |
| Total Auditor of State | <u>\$ 905,468</u> | <u>\$ 995,968</u> | <u>\$ 995,968</u> | <u>\$ 90,500</u> |

Issues

Local Audits – [House File 2455](#) (City Financial Examination Requirements) established a Local Audit Revolving Fund. The Subcommittee may want to examine the status of the implementation of HF 2455.

ETHICS AND CAMPAIGN DISCLOSURE BOARD

Overview and Funding History

Agency Overview: The [Iowa Ethics and Campaign Disclosure Board](#) administers the State campaign, lobbying, and ethics laws. The Board also reports on all gifts, bequests, and grants received by an Executive Branch agency other than a Regents university.

Funding History: The Board's funding comes from General Fund appropriations and has shown some fluctuations over the past five years. The apparent decrease in FY 2011 was more than offset by a transfer of \$150,000 from the Cash Reserve Fund. Transfers do not appear as appropriations.



Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations totaling \$490,000. This is no change compared to estimated FY 2013.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$490,000. This is no change compared to the Governor's FY 2014 recommendation.

FY 2010 Quick Facts – Ethics and Campaign Finance Disclosure**\$24,518,511**

Contributions to candidates

\$13,243,324

Contributions to parties

\$9,183,379

Contributions to PACs

Off-year elections generate the most contributions.

General Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|--|----------------------|-------------------------|--------------------|---------------------------|
| Ethics and Campaign Disclosure | | | | |
| Campaign Finance Disclosure | | | | |
| Ethics & Campaign Disclosure Board | \$ 490,000 | \$ 490,000 | \$ 490,000 | \$ 0 |
| Total Ethics and Campaign Disclosure | <u>\$ 490,000</u> | <u>\$ 490,000</u> | <u>\$ 490,000</u> | <u>\$ 0</u> |

Issues

Impact of Early Retirements – In FY 2011, the Board had a complete turnover of professional staff. The new director was hired in December 2010, and three new auditors were hired to replace those who took early retirement in 2010. Only an administrative assistant position remained filled. Approximately 10.0% of the budget is used to pay for the early retirement benefits. The savings from new staff having lower salaries helped pay these costs. The professional staff are becoming eligible for reclassifications which will increase salaries.

DEPARTMENT OF COMMERCE

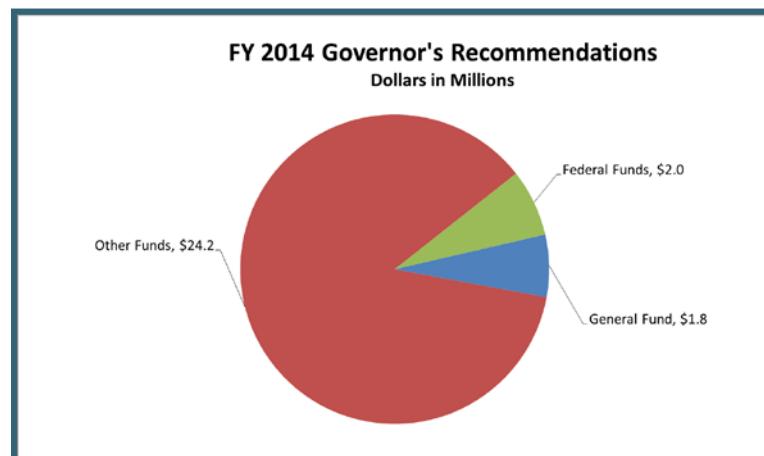
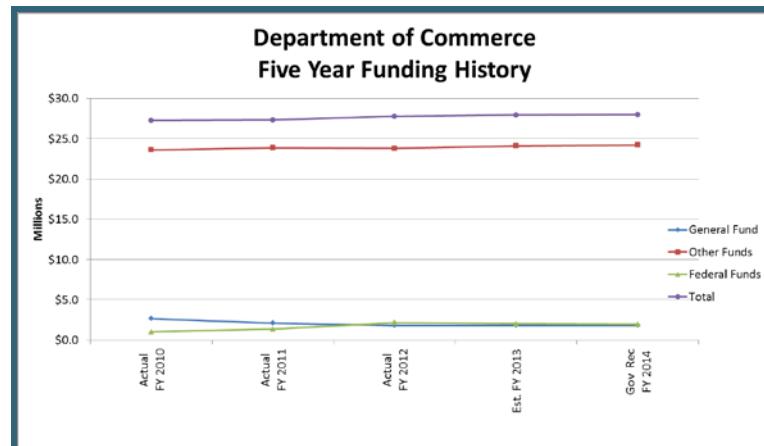
Overview and Funding History

Agency Overview: The [Department of Commerce](#) is comprised of six divisions, including the Alcoholic Beverages Division, the Division of Banking, the Credit Union Division, the Insurance Division, Professional Licensing and Regulation, and the Utilities Board. Each division is responsible for regulation of an industry or group of industries. Each division's budget is prepared independently and then combined with the other divisions' budgets for submission to the Governor and General Assembly for consideration.

Funding History: The total funding for the Department of Commerce has been fairly stable with slow growth over the past five years. Prior to FY 2010, the Banking, Credit Union, Insurance, and Utilities Divisions were funded with General Fund appropriations. The Divisions then billed their respective regulated companies for the costs of regulation, and that revenue was deposited into the State General Fund. The Commerce Revolving Fund was created by HF 809 (FY 2010 Administration and Regulation Appropriations Act) during the 2009 legislative session and receives the fees, fines, and penalties charged by these four regulatory agencies. The Alcoholic Beverages Division and the Professional Licensing Bureau receive the General Fund appropriations.

Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations totaling \$1.8 million. This is no change compared to estimated FY 2013. The Governor is recommending FY 2014 other funds appropriations totaling \$24.2 million. This is an increase of \$102,000 compared to FY 2013.



FY 2014 Quick Facts – Commerce

307

Banks regulated by the Banking Division

122

Credit unions regulated by the Credit Union Division

206 and 1,325

Domestic and non-domestic insurance companies regulated by the Insurance Division

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$1.8 million. This is no change compared to the Governor's FY 2014 recommendation. The Governor is recommending FY 2015 other funds appropriations totaling \$24.3 million. This is an increase of \$67,000 compared to FY 2014 to fund the Insurance Division's full-year rent at their new location.

General Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|---|----------------------|-------------------------|---------------------|---------------------------|
| <u>Commerce, Dept. of</u> | | | | |
| Alcoholic Beverages Alcoholic Beverages Operations | \$ 1,220,391 | \$ 1,220,391 | \$ 1,220,391 | \$ 0 |
| Professional Licensing and Reg. Professional Licensing Bureau | \$ 600,353 | \$ 600,353 | \$ 600,353 | \$ 0 |
| Total Commerce, Dept. of | \$ 1,820,744 | \$ 1,820,744 | \$ 1,820,744 | \$ 0 |

Other Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|--|----------------------|-------------------------|----------------------|---------------------------|
| <u>Commerce, Dept. of</u> | | | | |
| Banking Division Banking Division - CMRF | \$ 9,098,170 | \$ 9,098,170 | \$ 9,160,198 | \$ 62,028 |
| Credit Union Division Credit Union Division - CMRF | \$ 1,792,995 | \$ 1,792,995 | \$ 1,792,995 | \$ 0 |
| Insurance Division Insurance Division - CMRF | \$ 4,983,244 | \$ 5,003,244 | \$ 5,023,244 | \$ 40,000 |
| Utilities Division Utilities Division - CMRF | \$ 8,173,069 | \$ 8,173,069 | \$ 8,173,069 | \$ 0 |
| Professional Licensing and Reg. Field Auditor - Housing Impr Fund | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 0 |
| Total Commerce, Dept. of | \$ 24,109,795 | \$ 24,129,795 | \$ 24,211,823 | \$ 102,028 |

Governor's Recommendations – Significant Changes

| Department of Commerce – Banking Division | |
|---|-----------|
| An increase to fully fund the Division's membership in the Conference of State Bank Supervisors (CSBS). Dues were temporarily reduced during the economic crisis. | \$ 44,425 |
| An increase to fully fund the Division's rental agreement. The landlord agreed to temporarily reduce rent during the economic crisis. The reduction has expired. | 17,603 |
| Department of Commerce – Insurance Division | |
| An increase to pay for increased rent costs when the Division moves to the Two Ruan Building in the spring of 2013. | |

Issues

Insurance Exchange – As the State's insurance regulatory agency, the Insurance Division will be involved in the development of the federally required insurance exchange. The Subcommittee may want to review whether the State is progressing with the development of an exchange.

Staff Turnover – The Banking, Credit Union, Insurance, and Utilities Divisions are experiencing difficulties retaining younger professional staff at the current pay levels. After gaining a few years' experience, younger staff are being hired away by private sector firms offering higher salaries. The situation is aggravated by retirements of senior staff.

IOWA TELECOMMUNICATION AND TECHNOLOGY COMMISSION

Agency Overview: The [Iowa Telecommunications and Technology Commission \(ITTC\)](#) was established in 1994 to supervise the Iowa Communications Network (ICN). The Commission provides for the centralized, coordinated use and control of the Network. Educational applications are given the highest priority for use of the ICN by the ITTC.

Funding History: The General Fund appropriations are to provide technical assistance for ICN network classrooms and other support activities. This appropriation was moved from the purview of the Education Appropriations Subcommittee to the Administration and Regulation Appropriations Subcommittee in the 2012 legislative session. The appropriation decreases over the five-year period were general reductions.

Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations totaling \$993,000. This is no change compared to estimated FY 2013.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$993,000. This is no change compared to the Governor's FY 2014 recommendation.

General Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|---|----------------------|-------------------------|--------------------|---------------------------|
| <u>Iowa Tele & Tech Commission</u> | | | | |
| Iowa Communications Network Regional Telecom Councils | \$ 992,913 | \$ 992,913 | \$ 992,913 | \$ 0 |
| Total Iowa Tele & Tech Commission | <u>\$ 992,913</u> | <u>\$ 992,913</u> | <u>\$ 992,913</u> | <u>\$ 0</u> |

FY 2014 Quick Facts – ITTC / ICN

3,400

Miles of State-owned ICN fiber cable

5,621

Miles of leased ICN fiber cable

Issues

Sale of the ICN – House File 45 (FY 2011 Appropriations Adjustment Act) required the ITTC to prepare and issue a request for proposal (RFP) to lease or sell the ICN. The RFP is being finalized and has been submitted to the Governor for review. The Subcommittee may want to review the ITTC's progress and the issues encountered in preparing for the sale or lease of the ICN.

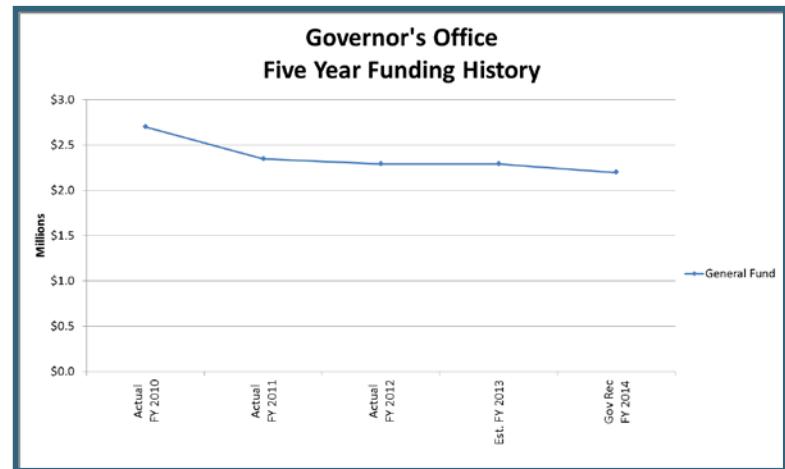
OFFICE OF GOVERNOR AND LIEUTENANT GOVERNOR

Overview and Funding History

Agency Overview: The position of [State Governor](#) was created in 1857 by [Article IV, Section 1](#), of the Iowa Constitution. The Governor is elected by a statewide popular vote and serves a four-year term. The duties and responsibilities of the Office are specified in Iowa Code chapter [7](#). The Governor's Office is responsible for managing the Executive Branch and implementing policies and programs in accordance with State law.

The functions funded by the Terrace Hill Quarters appropriation consist of the following:

- Staffing and expenses of the overall operation of the Terrace Hill National Historic Landmark and Iowa Governor's Residence.
- Daily food preparation and housekeeping services for the Governor and the Governor's family.
- Maintenance of the grounds by the Department of Administrative Services.



Funding History: The Governor's Office receives an annual appropriation from the General Fund to fund the majority of the operational cost of the Office. The FY 2011 decrease is related to providing a separate appropriation to the DAS for Terrace Hill Operations apart from the Terrace Hill Quarters.

Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations of \$2.2 million. This is a decrease of \$93,000 compared to estimated FY 2013 to reallocate the appropriation for the Terrace Hill Quarters to the DAS.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$2.2 million. This represents no change compared to the Governor's FY 2014 recommendation.

General Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|--|----------------------|-------------------------|--------------------|---------------------------|
| Governor | | | | |
| Governor's Office | | | | |
| Governor/Lt. Governor's Office | \$ 2,194,914 | \$ 2,194,914 | \$ 2,194,914 | \$ 0 |
| Terrace Hill Quarters | 93,111 | 93,111 | 0 | -93,111 |
| Total Governor | \$ 2,288,025 | \$ 2,288,025 | \$ 2,194,914 | \$ -93,111 |

Governor's Recommendations – Significant Changes

| | |
|--|-------------|
| Terrace Hill Quarters | |
| A decrease to transfer cooking and housekeeping to the DAS budget. | \$ - 93,111 |

GOVERNOR'S OFFICE OF DRUG CONTROL POLICY

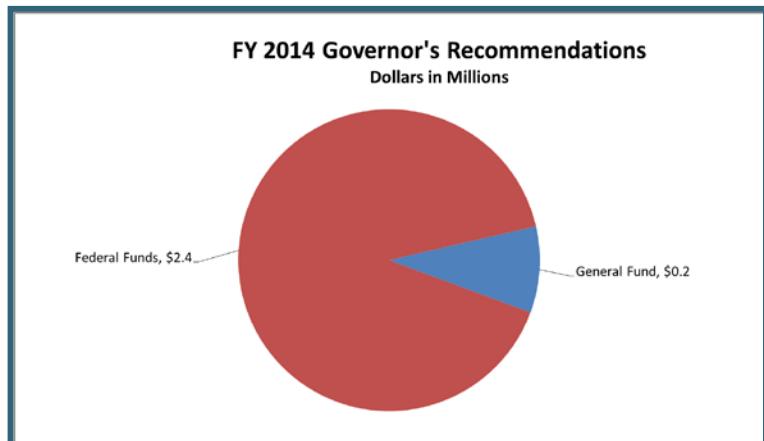
Agency Overview: The [Governor's Office of Drug Control Policy \(ODCP\)](#) coordinates agencies and stakeholders involved with drug enforcement and substance abuse treatment and prevention. The ODCP creates the drug control policy and strategy for the State and identifies, pursues, and administers federal and other grants.

Funding History: The General Fund appropriations support administrative activities by the Office. The decline in total funding is from decreased federal support for grant programs. The General Fund decrease in FY 2013 comes from downsizing the Office and collocating with the Department of Public Safety.

Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations totaling \$240,000. This is no change compared to estimated FY 2013.

FY 2015: The Governor is recommending



Quick Facts – Governor's Office of Drug Control Policy

981

Number of FY 2012 new drug-related prison admissions

3,903

Number of FY 2010 adult meth treatment admissions

62

Number of FY 2011 overdose deaths

FY 2015 General Fund appropriations of \$240,000. This is no change compared to the Governor's FY 2014 recommendation.

General Fund Recommendations

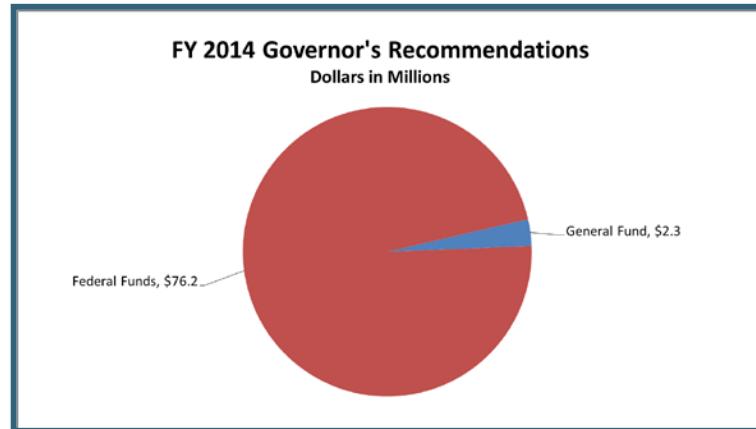
| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|--|----------------------|-------------------------|--------------------|---------------------------|
| Governor's Office of Drug Control Policy | | | | |
| Office of Drug Control Policy Drug Policy Coordinator | \$ 240,000 | \$ 240,000 | \$ 240,000 | \$ 0 |
| Total Governor's Office of Drug Control Policy | <u>\$ 240,000</u> | <u>\$ 240,000</u> | <u>\$ 240,000</u> | <u>\$ 0</u> |

Issues

Office Downsizing and Restructuring – Senate File 2313 (FY 2013 Administration and Regulation Appropriations Act) reduced staffing from 8.0 to 4.0 FTE positions, required the Office to collocate with the Department of Public Safety (DPS), and permitted DPS to provide administrative support. The ODCP relocated to the Pape State Office Building, reduced its office space and rental costs, and streamlined its office operations. In July 2012, the ODCP contracted with DPS to provide administrative support for ODCP grants and other programs, as well as ongoing work with other agencies on Iowa's Annual Drug Control Strategy, which is part of ODCP's annual report required by Iowa Code 80E. The estimated annual cost for the DPS contract for support services in FY 2013 is \$131,000, which ODCP estimates will be 19.0% less than the FY 2012 administrative costs. A smaller share of available federal grant funding is used for administration, resulting in a greater share of the grant funding being passed through to support community projects.

DEPARTMENT OF HUMAN RIGHTS

Agency Overview: The [Iowa Department of Human Rights \(DHR\)](#) is comprised of three divisions: Human Rights Administration, Community Advocacy and Services, and Criminal and Juvenile Justice. The Community Advocacy and Services Division is comprised of seven offices that promote self-sufficiency of their respective constituency populations by providing training, developing partnerships, and advocating on their behalf. The seven offices are the Offices of Asian and Pacific Islanders, Deaf Services, Latino Affairs, Native Americans, Persons with Disabilities, African Americans, and the Status of Women.



Funding History: Over the past five years, the General Fund appropriations to DHR have decreased somewhat. The bulk of the department's funding comes in federal programs and grants. The weatherization and energy assistance programs have accounted for much of the decrease in federal funds.

Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations totaling \$2.3 million. This is no change compared to estimated FY 2013.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$2.3 million. This is no change compared to the Governor's FY 2014 recommendation.

FY 2012 Quick Facts – Human Rights

1,538,036

Women in Iowa population

272,837

Racial minority population in Iowa

151,544

Latino population in Iowa

General Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|--------------------------------------|----------------------|-------------------------|---------------------|---------------------------|
| <u>Human Rights, Dept. of</u> | | | | |
| Human Rights, Department of | | | | |
| Human Rights Administration | \$ 206,103 | \$ 206,103 | \$ 206,103 | \$ 0 |
| Community Advocacy and Services | 1,028,077 | 1,028,077 | 1,028,077 | 0 |
| Criminal & Juvenile Justice | 1,100,105 | 1,100,105 | 1,100,105 | 0 |
| Total Human Rights, Dept. of | \$ 2,334,285 | \$ 2,334,285 | \$ 2,334,285 | \$ 0 |

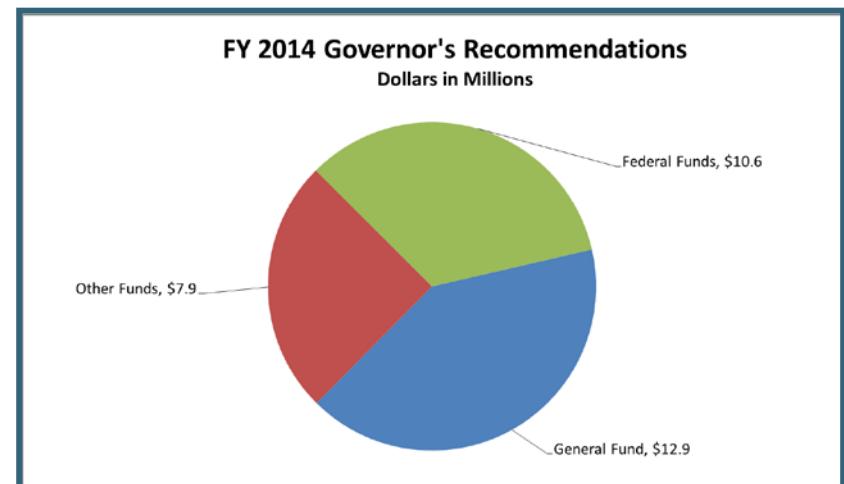
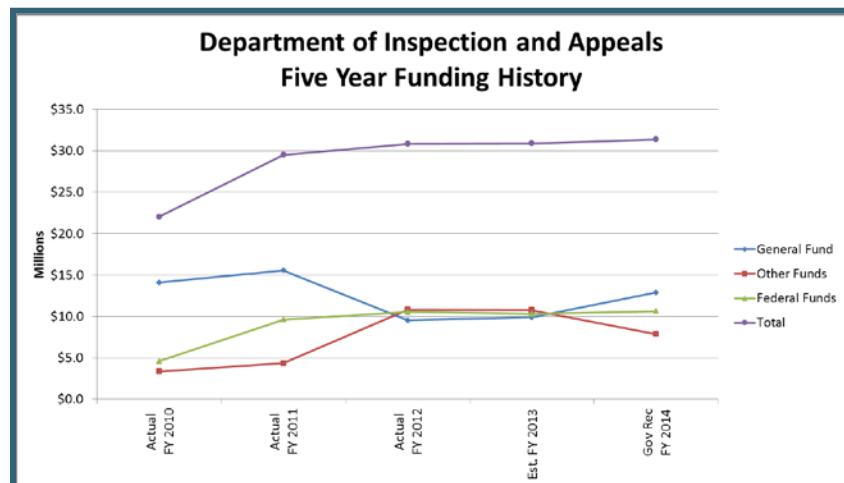
Issues

Federal Program Funding – The Department's federal funding could be negatively impacted by the sequestration of federal funds which has recently been delayed. The Low-Income Home Energy Assistance Program (LIHEAP) had a 25.0% cut in federal funding in FY 2012 and could see another 10.0% cut in FY 2013. The Weatherization Assistance Program is looking at a 65.0% reduction with the expiration of federal stimulus funding, leaving the Program dependent on carry-forward funds and funds supplied by utility companies. The Criminal and Juvenile Justice Division expects an 8.2% reduction in federal funding, most of which affects grants that are passed through to local agencies.

DEPARTMENT OF INSPECTIONS AND APPEALS

Agency Overview: The [Department of Inspections and Appeals \(DIA\)](#) is a regulatory agency charged with protecting the health, safety, and well-being of Iowans. The DIA consists of four major divisions: the Administration Division, the Administrative Hearings Division, the Health Facilities Division, and the Investigations Division. The Food and Consumer Safety Bureau, Social and Charitable Gambling Unit, and Targeted Small Business (TSB) Certification Program are located in the Administration Division. The DIA also includes five administrative units: the Child Advocacy Board, the Employment Appeal Board, the Hospital Licensing Board, the Iowa Racing and Gaming Commission, and the State Public Defender. The State Public Defender is funded through the Justice System Appropriation Subcommittee and is not discussed here.

Funding History: Much of the initial increase in funding came from increased federal funding. In FY 2012, funding for regulation by the Racing and Gaming Commission was shifted from the General Fund to the newly created Gaming Regulatory Revolving Fund, producing the \$5.6 million shift. The Revolving Fund received fees from the gaming industry that had previously been deposited into the State General Fund.



Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations totaling \$12.9 million. This is an increase of \$3.0 million compared to estimated FY 2013. The Governor is recommending FY 2014 other funds appropriations totaling \$7.9 million. This is a decrease of \$2.9 million compared to estimated FY 2013.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$12.9 million. This is no change compared to the Governor's FY 2014 recommendation. The Governor is recommending FY 2015 other funds appropriations totaling \$7.9 million. This is no change compared to the Governor's FY 2014 recommendation.

General Fund Recommendations

| | Estimated FY 2012 | Gov Rec FY 2013 | Gov Rec vs Est. FY 2012 |
|---|----------------------|----------------------|----------------------------|
| <u>Inspections & Appeals, Dept. of</u> | | | |
| Inspections and Appeals, Dept. of | | | |
| Administration Division | \$ 1,527,740 | \$ 248,409 | \$ -1,279,331 |
| Administrative Hearings Division | 528,753 | 678,942 | 150,189 |
| Investigations Division | 1,168,639 | 2,172,971 | 1,004,332 |
| Health Facilities Division | 3,555,328 | 5,763,146 | 2,207,818 |
| Employment Appeal Board | 42,215 | 42,215 | 0 |
| Child Advocacy Board | 2,680,290 | 2,680,290 | 0 |
| Food and Consumer Safety | 0 | 1,279,331 | 1,279,331 |
| Total Inspections & Appeals, Dept. of | \$ 9,502,965 | \$ 12,865,304 | \$ 3,362,339 |

Governor's Recommendations – Significant Changes

| Department of Inspections and Appeals | |
|--|--------------|
| An increase to replace funding from the Medicaid Fraud Fund with moneys from the General Fund. Adjustments are made among Divisions to reflect workload. | \$ 3,000,000 |

Other Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|---|----------------------|-------------------------|---------------------|---------------------------|
| <u>Inspections & Appeals, Dept. of</u> | | | | |
| Inspections and Appeals, Dept. of | | | | |
| Medicaid Fraud - Health Facilities | \$ 286,661 | \$ 0 | \$ 0 | \$ -286,661 |
| Medicaid Fraud - EBT Investigations | 119,070 | 0 | 0 | -119,070 |
| Medicaid Fraud - Dependent Adult | 885,262 | 0 | 0 | -885,262 |
| Medicaid Fraud - Boarding Homes | 119,480 | 0 | 0 | -119,480 |
| DIA - Med Fraud - Dependent Adult Abuse | 250,000 | 0 | 0 | -250,000 |
| DIA - RUTF | 1,623,897 | 1,623,897 | 1,623,897 | 0 |
| Medicaid Fraud - Assisted Living | 1,339,527 | 0 | 0 | -1,339,527 |
| Total Inspections and Appeals, Dept. of | \$ 4,623,897 | \$ 1,623,897 | \$ 1,623,897 | \$ -3,000,000 |
| Racing Commission | | | | |
| <u>Pari-Mutuel Regulation Fund</u> | \$ 3,062,765 | \$ 3,062,765 | \$ 3,062,765 | \$ 0 |
| <u>Riverboat Regulation Fund</u> | 3,045,719 | 3,170,719 | 3,170,719 | 125,000 |
| Total Racing Commission | \$ 6,108,484 | \$ 6,233,484 | \$ 6,233,484 | \$ 125,000 |
| Total Inspections & Appeals, Dept. of | \$ 10,732,381 | \$ 7,857,381 | \$ 7,857,381 | \$ -2,875,000 |

Governor's Recommendations – Significant Changes

| Department of Inspections and Appeals | |
|---|---------------|
| A decrease to replace funding from the Medicaid Fraud Fund with moneys from the General Fund. | \$ -3,000,000 |

| Racing and Gaming Commission | |
|---|---------|
| An increase to conduct a one-year socioeconomic study by the Racing and Gaming Commission required by Iowa Code section 99F.4(24) . | 125,000 |

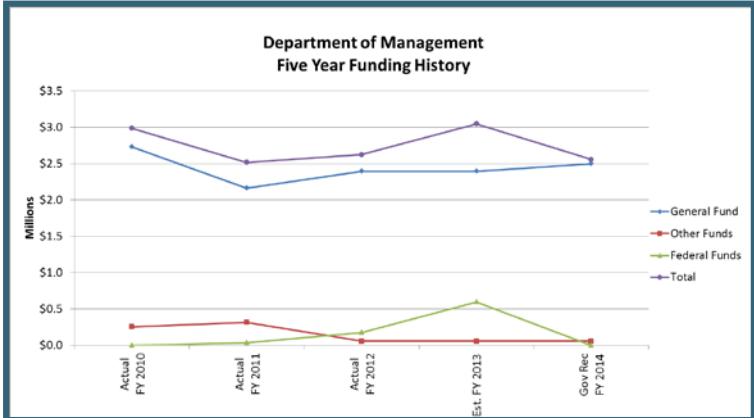
Issues

Medicaid Fraud Fund – The Medicaid Fraud Fund receives revenues from a portion of the settlements of national Medicaid fraud cases, with the reimbursement going to the Medicaid Program and the penalty funds deposited in the Medicaid Fraud Fund. Since FY 2002, receipts have varied from a low of \$25,000 to a high of \$4.1 million, with an annual average of \$1.3 million. The Fund balances have ranged from a low of \$2,700 to a high of \$6.1 million, with an average balance of \$2.3 million. During the first quarter of FY 2013, the Fund has had receipts of \$3.1 million from four cases and has a balance of \$6.1 million as of October 2012. A total of \$3.0 million was appropriated for DIA operations in FY 2013, leaving approximately \$3.1 million unspent. *The Governor is recommending shifting funding from the Medicaid Fraud Fund to the General Fund.*

DEPARTMENT OF MANAGEMENT

Agency Overview: The [Department of Management \(DOM\)](#) is the planning and budgeting agency within the Executive Branch. The director of the DOM serves as the Governor's chief financial advisor.

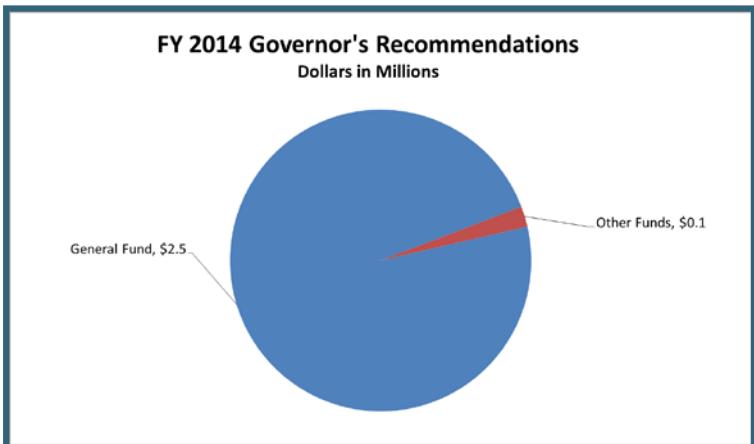
Funding History: The Department's funding comes primarily from the State General Fund, and a small amount (\$56,000) comes from the Road Use Tax Fund (RUTF). Funding for the DOM decreased in FY 2011 and has been recovering in recent years.



Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations totaling \$2.5 million. This is an increase of \$105,000 compared to estimated FY 2013 to establish a LEAN Office within the Department. The Governor is recommending FY 2014 other funds appropriations totaling \$56,000. This is no change compared to estimated FY 2013.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$2.5 million. This is no change compared to the Governor's FY 2014 recommendation. The Governor is recommending FY 2015 other funds appropriations totaling \$56,000. This is no change compared to the Governor's FY 2014 recommendation.



FY 2012 Quick Facts – Management

18,608

Full-time State workforce

\$6,012,453,315

Total State General Fund expenditures

\$4,641,538,000

Total Iowa property tax levies

General Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|--|----------------------|-------------------------|---------------------|---------------------------|
| <u>Management, Dept. of</u> | | | | |
| Management, Dept. of <u>Department Operations</u> | \$ 2,393,998 | \$ 2,393,998 | \$ 2,498,998 | \$ 105,000 |
| Total Management, Dept. of | <u>\$ 2,393,998</u> | <u>\$ 2,393,998</u> | <u>\$ 2,498,998</u> | <u>\$ 105,000</u> |

Governor's Recommendations – Significant Changes

| | |
|--|------------|
| Department of Management – Operations | |
| An increase for a LEAN Office within the Department staffed by 1.0 FTE position. | \$ 105,000 |

Other Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|---|----------------------|-------------------------|--------------------|---------------------------|
| <u>Management, Dept. of</u> | | | | |
| Management, Dept. of DOM Operations - RUTF | \$ 56,000 | \$ 56,000 | \$ 56,000 | \$ 0 |
| Total Management, Dept. of | <u>\$ 56,000</u> | <u>\$ 56,000</u> | <u>\$ 56,000</u> | <u>\$ 0</u> |

IOWA PUBLIC INFORMATION BOARD

The [Iowa Public Information Board](#) was created by [SF 430 \(Public Information Board Act\)](#) during the 2012 legislative session to provide an alternative for complaint proceedings regarding open meetings and public record laws. The Board consists of nine members appointed by the Governor and confirmed by the Senate. It is permitted to hire one employee, an attorney, to serve as an Executive Director. Prior to establishment of the Board, complaints relating to the open meetings and public records laws were handled by different agencies in the State. The Office of Citizens' Aide/Ombudsman handled many of these cases. In addition, some cases were handled by the Attorney General's Office, as well as internally by local entities. Board appointments were made, and the Board began meeting in July 2012 to organize, develop administrative rules, and identify staffing needs and budget requirements.

Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations of \$490,000 for the Board. This is a new appropriation.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$490,000 for the Board. This is no change compared to the Governor's FY 2014 recommendation.

General Fund Recommendations

Public Information Board

Public Information Board

| | | | | |
|-------------------------------|------|------|------------|------------|
| Iowa Public Information Board | \$ 0 | \$ 0 | \$ 490,000 | \$ 490,000 |
|-------------------------------|------|------|------------|------------|

Total Public Information Board

| | | | |
|------|------|------------|------------|
| \$ 0 | \$ 0 | \$ 490,000 | \$ 490,000 |
|------|------|------------|------------|

Governor's Recommendations – Significant Changes

| Iowa Public Information Board | |
|---|------------|
| A new appropriation for Board operations including 5.0 FTE positions. | \$ 490,000 |

Issues

Organization and Progress – The Subcommittee may want to review the progress made by the Board and identify statutory changes needed for implementation and hiring staff. *The Governor is recommending funding this new agency with five staff.*

DEPARTMENT OF REVENUE

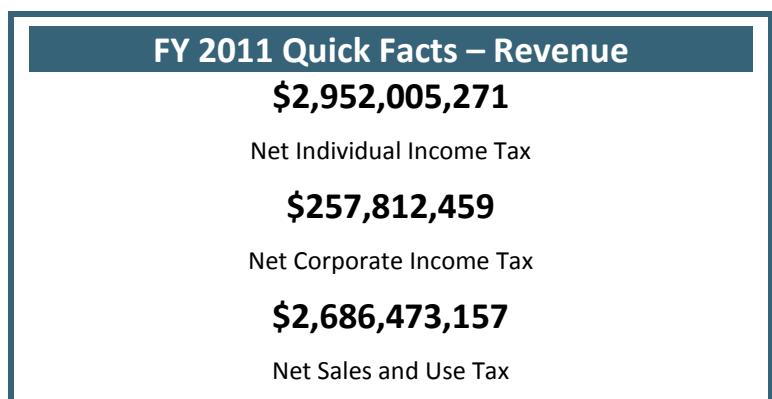
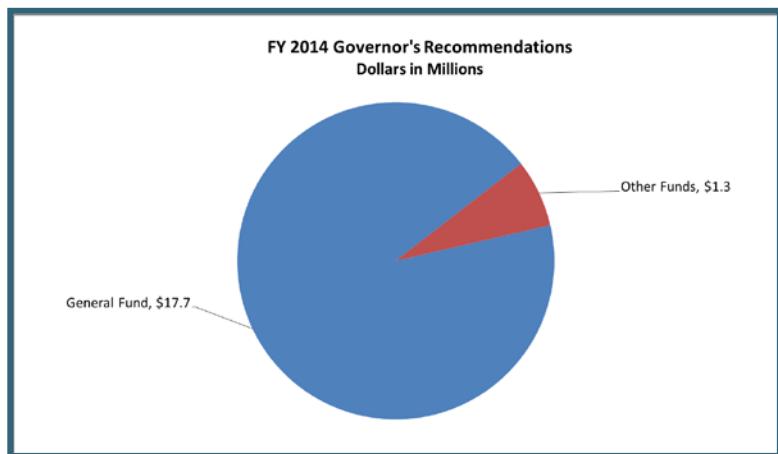
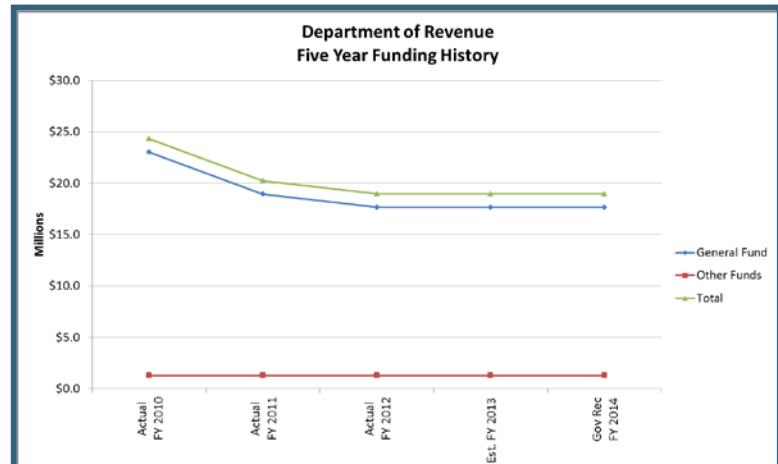
Agency Overview: The [Department of Revenue \(DR\)](#) is comprised of six divisions, including: Taxpayer Services and Policy, Compliance, Property Tax, Revenue Operations, Technology and Information Management, and Internal Services. The Department of Revenue collects all taxes in Iowa that are required by law. The Department also provides taxpayers with information that supports tax filing and payments.

Funding History: The Department receives \$1.3 million from the Motor Vehicle Fuel Tax Fund for administration, and the remaining appropriations come from the General Fund. General Fund appropriations decreased for FY 2010 and FY 2011.

Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations totaling \$17.7 million. This is no change compared to estimated FY 2013. The Governor is recommending FY 2014 other funds appropriations totaling \$1.3 million. This is no change compared to estimated FY 2013.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$92.0 million. This is an increase of \$74.3 million compared to the Governor's FY 2014 recommendation to reimburse local governments for the reduced property tax revenues associated with the commercial, industrial, and railroad forced rollbacks under the Governor's property tax reform proposal. The Governor is recommending FY 2015 other funds appropriations totaling \$1.3 million. This is no change compared to the Governor's FY 2014 recommendation.



General Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|---------------------------------|----------------------|-------------------------|----------------------|---------------------------|
| <u>Revenue, Dept. of</u> | | | | |
| Revenue, Dept. of | | | | |
| Revenue, Department of | \$ 17,659,484 | \$ 17,659,484 | \$ 17,659,484 | \$ 0 |
| Total Revenue, Dept. of | \$ 17,659,484 | \$ 17,659,484 | \$ 17,659,484 | \$ 0 |

Other Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|---------------------------------|----------------------|-------------------------|---------------------|---------------------------|
| <u>Revenue, Dept. of</u> | | | | |
| Revenue, Dept. of | | | | |
| Motor Fuel Tax Admin - MVFT | \$ 1,305,775 | \$ 1,305,775 | \$ 1,305,775 | \$ 0 |
| Total Revenue, Dept. of | \$ 1,305,775 | \$ 1,305,775 | \$ 1,305,775 | \$ 0 |

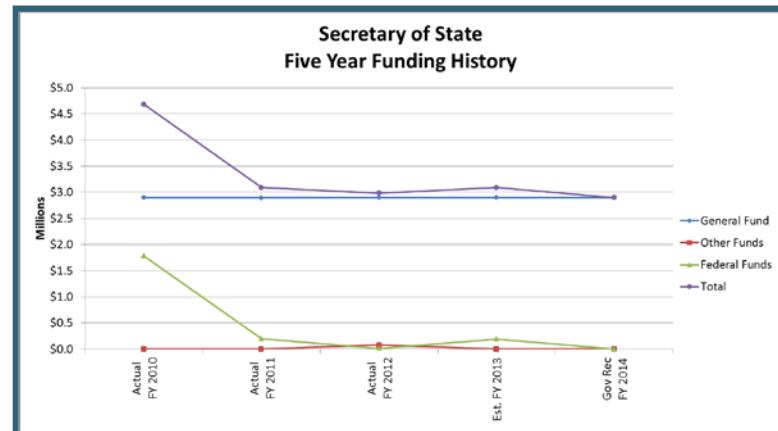
Issues

Upgrade of Computer Systems – The Department has implemented some inexpensive upgrades to technology, including automation of a billing process, automation of FMLA documentation processes, upgrading to Windows 7, setting up Twitter accounts to communicate with taxpayers, and offering educational webinars. Other major systems for tax processing are in need of upgrading or replacement.

SECRETARY OF STATE

Agency Overview: The Office of [Secretary of State](#) was created in 1857 in [Article IV, Section 22](#), of the Iowa Constitution. The duties of the Office are spelled out in Iowa Code chapter [9](#). The mission of the Office of the Secretary of State is to provide access to information about businesses in Iowa conveniently and economically, ensure election integrity and access to voting for Iowa citizens, and encourage Iowans to become civically engaged. The Secretary of State is responsible for receiving documents such as Uniform Commercial Code financing statements, trademarks, business entity documents, and other statutorily required special filings. The Office also coordinates and supervises elections and maintains and operates the Voter Registration Program.

Funding History: The Secretary of State receives an annual appropriation from the General Fund for the majority of the operational cost of the Office.



FY 2012 Quick Facts – Secretary of State

2,144,612

Registered Iowa voters in the 2012 General Election

1,572,198

Total Iowa votes cast in the 2012 General Election

679,118

Absentee Iowa voters in the 2012 General Election

Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations of \$2.9 million. This represents no change compared to estimated FY 2013.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$2.9 million. This represents no change compared to the Governor's FY 2014 recommendation.

General Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|---|----------------------|-------------------------|---------------------|---------------------------|
| Secretary of State | | | | |
| Secretary of State Secretary of State - Operations | \$ 2,895,585 | \$ 2,895,585 | \$ 2,895,585 | \$ 0 |
| Total Secretary of State | \$ 2,895,585 | \$ 2,895,585 | \$ 2,895,585 | \$ 0 |

Issues

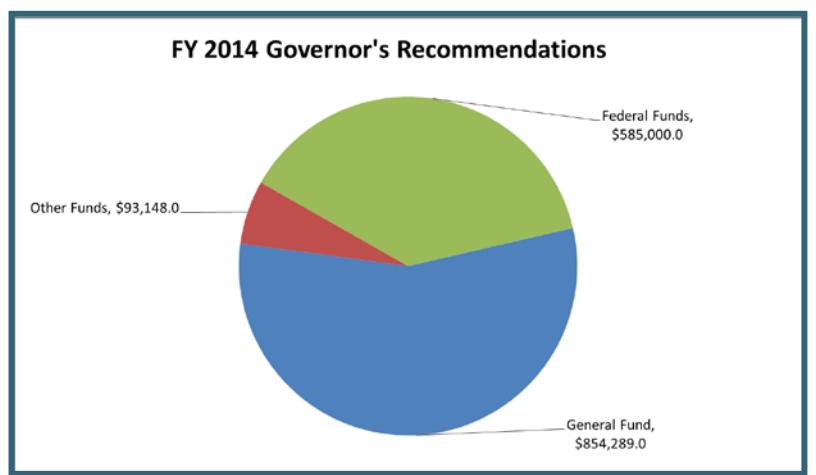
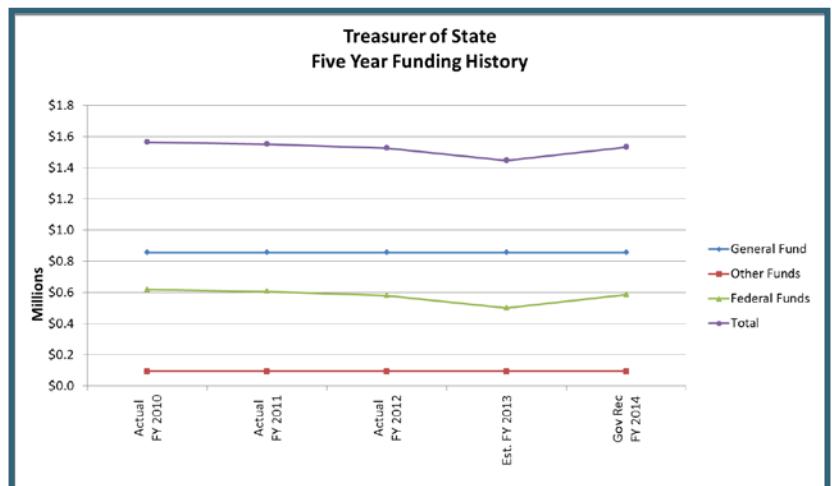
Voter Registration – The Subcommittee may want to examine the efforts of the Secretary of State as they relate to voter registration.

Residential Construction Mechanics Liens – [House File 675](#) (Mechanics Liens) relates to mechanics liens and the establishment of a State Construction Registry (SCR) for residential construction property and the State Construction Registry Fund. The Subcommittee may want to examine the status of the implementation of HF 675.

TREASURER OF STATE

Agency Overview: The position of [Treasurer of State](#) was created in 1857 by [Article IV, Section 22](#) of the Iowa Constitution. The Treasurer is elected by a statewide popular vote and serves a four-year term. The duties and responsibilities of the Office are specified in Iowa Code chapter [12](#). The Treasurer of State provides financial services to the State of Iowa by maintaining records of the receipts and disbursements in the State treasury. The Treasurer is responsible for reporting the bonding activities of all political subdivisions and agencies and makes recommendations to the General Assembly and the Governor on modifications to the bonding authority.

Funding History: The Treasurer of State's Office receives an annual appropriation from the General Fund to fund the majority of the operational cost of the Office related to State finance and accounting activities. In addition to the General Fund appropriation, the Treasurer's Office receives an annual appropriation from the Road Use Tax Fund that is used to reimburse the Department of Administrative Services for providing information technology services related to the administration of the Road Use Tax Fund. The Office also receives operating revenues through reimbursements from other funding sources for providing staff support for various programs including: Unclaimed Property, Iowa Educational Savings Plan Trust, Protection of Public Fund Deposits, IPERS Fund, Peace Officers Retirement System, Judicial Retirement System, Tobacco Settlement Authority, and Cash Management.



Governor's Recommendations

FY 2014: The Governor is recommending FY 2014 General Fund appropriations of \$854,000. This represents no change compared to estimated FY 2013.

The Governor is also recommending a Road Use Tax Fund appropriation of \$93,000 for FY 2014 to fund I/3 budget system expenses. This is no change compared to estimated FY 2013.

FY 2015: The Governor is recommending FY 2015 General Fund appropriations of \$854,000. This represents no change compared to the Governor's FY 2014 recommendation.

The Governor is also recommending a Road Use Tax Fund appropriation of \$93,000 for FY 2015 to fund I/3 budget system expenses. This represents no change compared to the Governor's FY 2014 recommendation.

General Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|--|----------------------|-------------------------|--------------------|---------------------------|
| Treasurer of State | | | | |
| Treasurer of State | | | | |
| Treasurer - General Office | \$ 854,289 | \$ 854,289 | \$ 854,289 | \$ 0 |
| Total Treasurer of State | \$ 854,289 | \$ 854,289 | \$ 854,289 | \$ 0 |

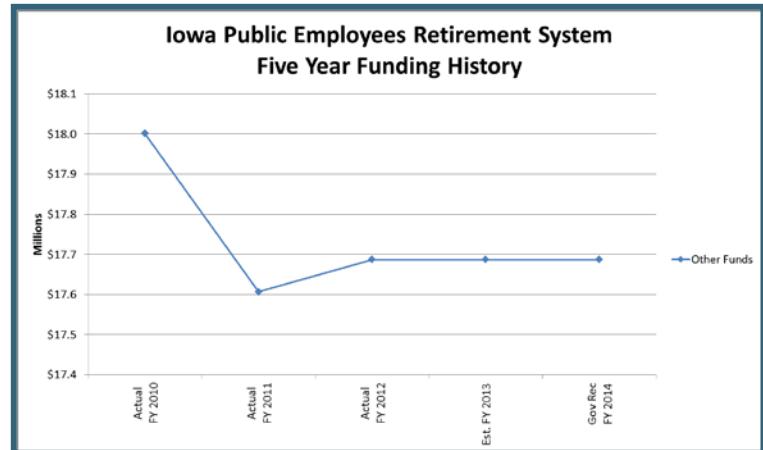
Other Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|-------------------------------------|----------------------|-------------------------|--------------------|---------------------------|
| Treasurer of State | | | | |
| Treasurer of State | | | | |
| I-3 Expenses - RUTF | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 0 |
| Total Treasurer of State | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 0 |

IOWA PUBLIC EMPLOYEES RETIREMENT SYSTEM

Agency Overview: The [Iowa Public Employees' Retirement System \(IPERS\)](#) administers the retirement benefits for many of Iowa's public employees. Iowa's public employers use IPERS benefits to attract and retain qualified public personnel in public service. The benefits help public employees care for themselves during retirement.

Funding History: Funding for IPERS comes from the IPERS' Trust Fund and has been stable in recent years.



Governor's Recommendations

FY 2014: The Governor is recommending an appropriation of \$17.7 million from the IPERS Trust Fund for FY 2014 for administration of the Fund. This is no change compared to estimated FY 2013.

FY 2015: The Governor is recommending an appropriation of \$15.7 million from the IPERS Trust Fund for FY 2014 for administration of the Fund. This is a decrease of \$2.0 million compared to estimated FY 2013 due to completion of the implementation of the I-Que computer system.

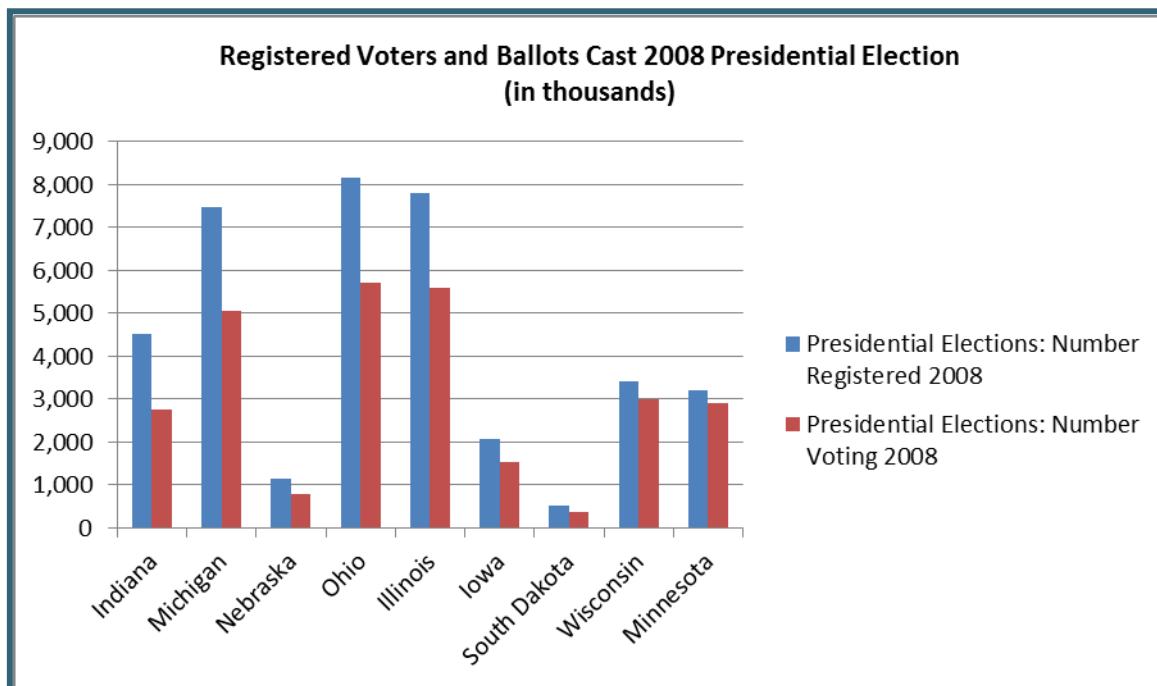
Other Fund Recommendations

| | Estimated FY 2013 | Dept Request FY 2014 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 |
|-----------------------------------|----------------------|-------------------------|----------------------|---------------------------|
| IPERS Administration | | | | |
| IPERS Administration | \$ 17,686,968 | \$ 17,686,968 | \$ 17,686,968 | \$ 0 |
| Total IPERS Administration | \$ 17,686,968 | \$ 17,686,968 | \$ 17,686,968 | \$ 0 |

Comparison to Other States – Presidential Elections Voting

Data reported in the Book of States and presented on the [Council of State Governments States Perform](http://www.statesperform.org/) website, showed the percent of registered voters during the 2008 presidential elections in Iowa was 74.0%. Other Midwest states showed the following percentages for voting: Minnesota 90.9%, Wisconsin 87.6%, South Dakota 75.2%, Illinois 71.6%, Ohio 69.8%, Nebraska 69.2%, Michigan 67.5% and Indiana 60.9%. The chart shows the number of registered voters and the number of votes cast for the 2008 presidential election.

For additional comparison, please refer to <http://www.statesperform.org/Default.aspx>.



LSA Publications

The following *Issue Reviews*, *Fiscal Topics*, and *Fiscal One-On-One Audios* have been issued by the LSA that relate to the Administration and Regulation Appropriations Subcommittee:

- *Issue Review:*
[Department of Administrative Services Cost Savings](#)
[Executive Branch Employees: January 2011 and August 2012](#)

- *Budget Unit Fiscal Topics:*
[Governor/Lt Governor's Office](#)
[Terrace Hill Quarters](#)
[Auditor of State](#)
[Secretary of State](#)
[Treasurer of State](#)

[Performance of Duty](#)

[I-3 Expense - Road Use Tax Fund \(RUTF\)](#)

[Department of Administrative Services](#)

[Department of Management](#)

[Iowa Ethics and Campaign Finance Disclosure Board](#)

[Food and Consumer Safety Bureau, Department of Inspections and Appeals](#)

[Administrative Hearings Division, Department of Inspection and Appeals](#)

[Investigations Division, Department of Inspections and Appeals](#)

[Racing and Gaming: Pari-Mutuel Regulation](#)

[Racing and Gaming: Riverboat Regulation](#)

[Department of Revenue](#)

[Community Advocacy and Services Division, Department of Human Rights](#)

[Criminal and Juvenile Justice Planning Division, Department of Human Rights](#)

[Alcoholic Beverages Division](#)

[Lottery Authority](#)

- *Fiscal Topics:*

[FY 2012 Performance of Duty Expenditures](#)

[HIPIOWA-FED Health Insurance Plan](#)

[HIPIOWA - State High-Risk Health Insurance Pool](#)

- *Fiscal One-On-One Audio Interviews:*

[Insurance Commissioner](#) (link opens the mp3 file)

[Lottery History and Operations](#) (link opens the mp3 file)

The following reports of general interest have been issued by the LSA:

- *Fiscal One-On-One Audio Interviews:*

[State Budget Process](#)

[Legislative Bill Drafting](#)

[Revenue Estimating Conference \(REC\)](#)

- *Issue Reviews:*

[Trends in General Fund Appropriations](#)

[Iowa's Expenditure Limitation Process](#)

Staff Contacts: Dwayne Ferguson (515-281-6561) dwayne.ferguson@legis.iowa.gov
Ron Robinson (515-281-6256) ron.robinson@legis.iowa.gov

General Fund Tracking

Appendix A

Administration and Regulation

General Fund

| | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 | Gov Rec FY 2015 | Gov Rec FY 2015 vs Gov Rec FY14 |
|--|-------------------|----------------------|--------------------|---------------------------|--------------------|---------------------------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) |
| Administrative Services, Dept. of | | | | | | |
| Administrative Services | \$ 4,020,344 | \$ 4,020,344 | \$ 4,020,322 | \$.22 | \$ 4,020,322 | \$ 0 |
| Utilities | 2,626,460 | 2,676,460 | 2,676,460 | 0 | 2,676,460 | 0 |
| Terrace Hill Operations | 405,914 | 405,914 | 499,025 | 93,111 | 499,025 | 0 |
| I3 Distribution | 3,277,946 | 3,277,946 | 3,277,946 | 0 | 3,277,946 | 0 |
| Iowa Building Operations | 995,535 | 995,535 | 0 | .995,535 | 0 | 0 |
| Total Administrative Services, Dept. of | \$ 11,326,199 | \$ 11,376,199 | \$ 10,473,753 | \$ -.902,446 | \$ 10,473,753 | \$ 0 |
| Auditor of State | | | | | | |
| Auditor Of State | \$ 905,468 | \$ 905,468 | \$ 995,968 | \$ 90,500 | \$ 1,038,218 | \$ 42,250 |
| Auditor of State - General Office | \$ 905,468 | \$ 905,468 | \$ 995,968 | \$ 90,500 | \$ 1,038,218 | \$ 42,250 |
| Total Auditor of State | | | | | | |
| Ethics and Campaign Disclosure | | | | | | |
| Campaign Finance Disclosure | \$ 475,000 | \$ 490,000 | \$ 490,000 | \$ 0 | \$ 490,000 | \$ 0 |
| Ethics & Campaign Disclosure Board | \$ 475,000 | \$ 490,000 | \$ 490,000 | \$ 0 | \$ 490,000 | \$ 0 |
| Total Ethics and Campaign Disclosure | | | | | | |
| Commerce, Dept. of | | | | | | |
| Alcoholic Beverages | \$ 1,220,391 | \$ 1,220,391 | \$ 1,220,391 | \$ 0 | \$ 1,220,391 | \$ 0 |
| Alcoholic Beverages Operations | | | | | | |
| Professional Licensing and Reg. | \$ 600,353 | \$ 600,353 | \$ 600,353 | \$ 0 | \$ 600,353 | \$ 0 |
| Professional Licensing Bureau | \$ 1,820,744 | \$ 1,820,744 | \$ 1,820,744 | \$ 0 | \$ 1,820,744 | \$ 0 |
| Total Commerce, Dept. of | | | | | | |
| Iowa Tele & Tech Commission | | | | | | |
| Iowa Communications Network | \$ 0 | \$ 992,913 | \$ 992,913 | \$ 0 | \$ 992,913 | \$ 0 |
| Regional Telecom Councils | \$ 0 | \$ 992,913 | \$ 992,913 | \$ 0 | \$ 992,913 | \$ 0 |
| Total Iowa Tele & Tech Commission | | | | | | |

Administration and Regulation

General Fund

| | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 | FY 2015 | Gov Rec FY 2014 | Gov Rec FY15 vs Gov Rec FY14 |
|---|-------------------|----------------------|--------------------|---------------------------|--------------|--------------------|---------------------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | |
| Governor | | | | | | | |
| Governor's Office | \$ 2,288,025 | \$ 2,194,914 | \$ 2,194,914 | \$ 0 | \$ 2,194,914 | \$ 0 | \$ 0 |
| Governor/Lt. Governor's Office | 0 | 93,111 | | | | | |
| Terrace Hill Quarters | | | | | | | |
| Total Governor | \$ 2,288,025 | \$ 2,288,025 | \$ 2,194,914 | \$ 0 | \$ 2,194,914 | \$ 0 | \$ 0 |
| Governor's Office of Drug Control Policy | | | | | | | |
| Office of Drug Control Policy | \$ 290,000 | \$ 240,000 | \$ 240,000 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Drug Policy Coordinator | | | | | | | |
| Total Governor's Office of Drug Control Policy | \$ 290,000 | \$ 240,000 | \$ 240,000 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Human Rights, Dept. of | | | | | | | |
| Human Rights, Department of Human Rights Administration | \$ 206,103 | \$ 206,103 | \$ 206,103 | \$ 0 | \$ 0 | \$ 206,103 | \$ 0 |
| Community Advocacy and Services | 1,028,077 | 1,028,077 | 1,028,077 | \$ 0 | \$ 0 | 1,028,077 | \$ 0 |
| Criminal & Juvenile Justice | 1,023,892 | 1,100,105 | 1,100,105 | \$ 0 | \$ 0 | 1,100,105 | \$ 0 |
| Total Human Rights, Dept. of | \$ 2,258,072 | \$ 2,334,285 | \$ 2,334,285 | \$ 0 | \$ 0 | \$ 2,334,285 | \$ 0 |
| Inspections & Appeals, Dept. of | | | | | | | |
| Inspections and Appeals, Dept. of | | | | | | | |
| Administrative Division | \$ 1,527,740 | \$ 248,409 | \$ 519,403 | \$ 270,994 | \$ 519,403 | \$ 519,403 | \$ 0 |
| Administrative Hearings Division | 528,753 | 528,753 | 678,942 | 150,189 | 678,942 | 678,942 | \$ 0 |
| Investigations Division | 1,168,639 | 1,168,639 | 2,573,089 | 1,404,450 | 2,573,089 | 2,573,089 | \$ 0 |
| Health Facilities Division | 3,555,328 | 3,917,666 | 5,092,033 | 1,174,367 | 5,092,033 | 5,092,033 | \$ 0 |
| Employment Appeal Board | 42,215 | 42,215 | 42,215 | 0 | 42,215 | 42,215 | \$ 0 |
| Child Advocacy Board | 2,680,290 | 2,680,290 | 2,680,590 | 300 | 2,680,590 | 2,680,590 | \$ 0 |
| Food and Consumer Safety | 0 | 1,279,331 | 1,279,331 | 0 | 0 | 1,279,331 | \$ 0 |
| Total Inspections & Appeals, Dept. of | \$ 9,502,965 | \$ 9,865,303 | \$ 12,865,603 | \$ 3,000,300 | \$ 3,000,300 | \$ 12,865,603 | \$ 0 |
| Management, Dept. of | | | | | | | |
| Management, Dept. of | | | | | | | |
| Department Operations | \$ 2,393,998 | \$ 2,393,998 | \$ 2,498,998 | \$ 105,000 | \$ 105,000 | \$ 2,498,998 | \$ 0 |
| Total Management, Dept. of | \$ 2,393,998 | \$ 2,393,998 | \$ 2,498,998 | \$ 105,000 | \$ 105,000 | \$ 2,498,998 | \$ 0 |
| Public Information Board | | | | | | | |
| Public Information Board | | | | | | | |
| Iowa Public Information Board | | | | | | | |
| Total Public Information Board | \$ 0 | \$ 0 | \$ 0 | \$ 490,000 | \$ 490,000 | \$ 490,000 | \$ 0 |

Administration and Regulation

General Fund

| | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 | Gov Rec FY 2015 | Gov Rec FY 2015 vs Gov Rec FY14 |
|---|-----------------------------|-----------------------------|-----------------------------|----------------------------|-----------------------------|--|
| | (1) | (2) | (3) | (4) | (5) | (6) |
| Revenue, Dept. of | | | | | | |
| Revenue, Dept. of <u>Revenue Department of</u> | \$ 17,659,484 | \$ 17,659,484 | \$ 17,659,484 | \$ 0 | \$ 17,659,484 | \$ 0 |
| Total Revenue, Dept. of | <u>\$ 17,659,484</u> | <u>\$ 17,659,484</u> | <u>\$ 17,659,484</u> | <u>\$ 0</u> | <u>\$ 17,659,484</u> | <u>\$ 0</u> |
| Secretary of State | | | | | | |
| Secretary of State <u>Secretary of State - Operations</u> | \$ 2,895,585 | \$ 2,895,585 | \$ 2,895,585 | \$ 0 | \$ 2,895,585 | \$ 0 |
| Total Secretary of State | <u>\$ 2,895,585</u> | <u>\$ 2,895,585</u> | <u>\$ 2,895,585</u> | <u>\$ 0</u> | <u>\$ 2,895,585</u> | <u>\$ 0</u> |
| Treasurer of State | | | | | | |
| Treasurer of State <u>Treasurer - General Office</u> | \$ 854,289 | \$ 854,289 | \$ 854,289 | \$ 0 | \$ 854,289 | \$ 0 |
| Total Treasurer of State | <u>\$ 854,289</u> | <u>\$ 854,289</u> | <u>\$ 854,289</u> | <u>\$ 0</u> | <u>\$ 854,289</u> | <u>\$ 0</u> |
| Total Administration and Regulation | <u><u>\$ 52,669,829</u></u> | <u><u>\$ 54,116,293</u></u> | <u><u>\$ 56,806,536</u></u> | <u><u>\$ 2,690,243</u></u> | <u><u>\$ 56,848,786</u></u> | <u><u>\$ 42,250</u></u> |

Other Funds Tracking

Appendix B

Administration and Regulation

Other Funds

| | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 | Gov Rec FY 2015 | Gov Rec FY 2015 vs Gov Rec FY14 |
|--|----------------------|----------------------|----------------------|---------------------------|----------------------|---------------------------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) |
| Commerce, Dept. of | | | | | | |
| Banking Division | \$ 8,851,670 | \$ 9,098,170 | \$ 9,160,198 | \$ 62,028 | \$ 9,160,198 | \$ 0 |
| Banking Division - CMRF | | | | | | |
| Credit Union Division | \$ 1,727,995 | \$ 1,792,995 | \$ 1,792,995 | \$ 0 | \$ 1,792,995 | \$ 0 |
| Credit Union Division - CMRF | | | | | | |
| Insurance Division | \$ 4,983,244 | \$ 4,983,244 | \$ 5,023,244 | \$ 40,000 | \$ 5,090,244 | \$ 67,000 |
| Insurance Division - CMRF | | | | | | |
| Utilities Division | \$ 8,173,069 | \$ 8,173,069 | \$ 8,173,069 | \$ 0 | \$ 8,173,069 | \$ 0 |
| Utilities Division - CMRF | | | | | | |
| Professional Licensing and Reg. | | | | | | |
| Field Auditor - Housing Impr Fund | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 0 | \$ 62,317 | \$ 0 |
| Total Commerce, Dept. of | <u>\$ 23,798,295</u> | <u>\$ 24,109,195</u> | <u>\$ 24,211,823</u> | <u>\$ 102,028</u> | <u>\$ 24,218,823</u> | <u>\$ 67,000</u> |
| Inspections & Appeals, Dept. of | | | | | | |
| Inspections and Appeals, Dept. of | | | | | | |
| Medicaid Fraud - Health Facilities | \$ 650,000 | \$ 286,661 | \$ 0 | \$ 286,661 | \$ 0 | \$ 0 |
| Medicaid Fraud - EBT Investigations | 119,070 | 119,070 | 0 | -119,070 | 0 | 0 |
| Medicaid Fraud - Dependent Adult | 885,262 | 885,262 | 0 | -885,262 | 0 | 0 |
| Medicaid Fraud - Boarding Homes | 119,480 | 119,480 | 0 | -119,480 | 0 | 0 |
| DIA - Med Fraud - Dependent Adult Abuse | 250,000 | 250,000 | 0 | -250,000 | 0 | 0 |
| DIA - RUTTF | 1,623,897 | 1,623,897 | 1,623,897 | 0 | 1,623,897 | 0 |
| Medicaid Fraud - Assisted Living | 1,339,527 | 1,339,527 | 0 | -1,339,527 | 0 | 0 |
| Total Inspections and Appeals, Dept. of | <u>\$ 4,987,236</u> | <u>\$ 4,623,897</u> | <u>\$ 1,623,897</u> | <u>\$ -3,000,000</u> | <u>\$ 1,623,897</u> | <u>\$ 0</u> |
| Racing Commission | | | | | | |
| Pari-Mutuel Regulation Fund | \$ 2,628,519 | \$ 3,062,765 | \$ 3,062,765 | \$ 0 | \$ 3,062,765 | \$ 0 |
| Riverboat Regulation Fund | \$ 3,194,244 | \$ 3,045,719 | \$ 3,170,719 | \$ 125,000 | \$ 3,170,719 | \$ 0 |
| Total Racing Commission | <u>\$ 5,822,763</u> | <u>\$ 6,108,484</u> | <u>\$ 6,233,484</u> | <u>\$ 125,000</u> | <u>\$ 6,233,484</u> | <u>\$ 0</u> |
| Total Inspections & Appeals, Dept. of | <u>\$ 10,809,999</u> | <u>\$ 10,732,381</u> | <u>\$ 7,857,381</u> | <u>\$ -2,875,000</u> | <u>\$ 7,857,381</u> | <u>\$ 0</u> |

Administration and Regulation

Other Funds

| | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 | Gov Rec vs Est FY 2013 | Gov Rec FY 2015 | Gov Rec FY 2015 vs Gov Rec FY14 |
|--|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|---------------------------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) |
| Management, Dept. of | | | | | | |
| DOM Operations - RUTF | \$ 56,000 | \$ 56,000 | \$ 56,000 | \$ 56,000 | \$ 0 | \$ 56,000 |
| Total Management, Dept. of | <u>\$ 56,000</u> | <u>\$ 56,000</u> | <u>\$ 56,000</u> | <u>\$ 56,000</u> | <u>\$ 0</u> | <u>\$ 56,000</u> |
| Revenue, Dept. of | | | | | | |
| Revenue, Dept. of Motor Fuel Tax Admin - MVFT | \$ 1,305,775 | \$ 1,305,775 | \$ 1,305,775 | \$ 0 | \$ 1,305,775 | \$ 0 |
| Total Revenue, Dept. of | <u>\$ 1,305,775</u> | <u>\$ 1,305,775</u> | <u>\$ 1,305,775</u> | <u>\$ 0</u> | <u>\$ 1,305,775</u> | <u>\$ 0</u> |
| Secretary of State | | | | | | |
| Secretary of State Redistricting - lowAccess | \$ 75,000 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Total Secretary of State | <u>\$ 75,000</u> | <u>\$ 0</u> |
| Treasurer of State | | | | | | |
| Treasurer of State <u>L3 EXPENSES - RUTF</u> | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 0 | \$ 93,148 | \$ 0 |
| Total Treasurer of State | <u>\$ 93,148</u> | <u>\$ 93,148</u> | <u>\$ 93,148</u> | <u>\$ 0</u> | <u>\$ 93,148</u> | <u>\$ 0</u> |
| IPERS Administration | | | | | | |
| IPERS Administration IPERS Administration | \$ 17,686,968 | \$ 17,686,968 | \$ 17,686,968 | \$ 0 | \$ 15,686,968 | \$ -2,000,000 |
| Total IPERS Administration | <u>\$ 17,686,968</u> | <u>\$ 17,686,968</u> | <u>\$ 17,686,968</u> | <u>\$ 0</u> | <u>\$ 15,686,968</u> | <u>\$ -2,000,000</u> |
| Total Administration and Regulation | <u><u>\$ 53,825,185</u></u> | <u><u>\$ 53,984,067</u></u> | <u><u>\$ 51,211,095</u></u> | <u><u>\$ -2,772,972</u></u> | <u><u>\$ 49,278,095</u></u> | <u><u>\$ -1,933,000</u></u> |

FTE Position Tracking

Appendix C

Explanation of FTE Position Data

The following is an explanation of the Full-Time Equivalent (FTE) position information provided on the following tables. The columns of FTE data represent different points in time that the numbers were compiled. For additional information on the State's FTE positions, see the [Issue Review entitled State of Iowa FY 2011 FTE positions and Personnel Costs.](#)

Final Action FY 2012: This information represents the number of FTE positions that were appropriated in session law during the 2011 Legislative Session.

Actual FY 2012: This data represents the actual FTE utilization calculated at the close of the fiscal year. The FTE usage is calculated by taking the actual hours worked during the fiscal year and dividing the number by 2,080 hours. For example, if a department has budgeted a full-time position (equating to 1.0 FTE) and this position is vacant for six months of the fiscal year, at the close of the fiscal year, the calculation of the actual FTE would be 0.5 ($1,040 \div 2,080$). The calculation of the actual FTE factors out the portion of the FTE that was vacant during the fiscal year.

Actual vs Final Act FY 2012: This shows the difference between the estimates being used at the close of the 2011 Legislative Session and the actual FTE utilization calculated at the close of FY 2012.

Final Action FY 2013: This information represents the number of FTE positions that were appropriated in session law during the 2012 Legislative Session.

Estimated FY 2013: This data represents the estimated FTE positions that were budgeted by the departments on or around the beginning of FY 2013 and incorporates any revisions that would have been made to the budget by the departments through (approximately) December of 2012. Changes to the estimates can occur for a variety of reasons. For example, if departments are not provided funding for salary adjustment to cover the costs of funding collective bargaining contracts, the departments will often reduce the number of FTE positions in order to cover costs.

Est vs Final Act FY 2013: This column shows the difference between the estimates provided at the beginning of FY 2013 and the FTE positions enacted during the 2012 Legislative Session.

Gov Rec FY 2014: This is the Governor's recommendation for FY 2014.

Gov Rec FY 2014 vs Est FY 2013: Represents the difference between the Governor's recommended FTE positions and the most recent estimates for FY 2013.

Gov FY 2015 vs Gov FY 2014: This is the Governor's recommendation for FY 2015.

Gov FY 2015 vs Gov FY 2014: Represents the difference between the Governor's recommendations for FY 2015 and FY 2014.

Administration and Regulation

FTE Positions

| | Final Action FY 2012 | Actual FY 2012 | Actual vs Final Act FY 2012 | Final Action FY 2013 | Estimated FY 2013 | Est vs Final Act FY 2013 | Gov Rec FY 2014 | Gov Rec FY 2014 vs Est FY 2013 | Gov Rec YR2 FY 2015 | Gov Rec YR2 Gov FY 2015 |
|--|-------------------------|-------------------|--------------------------------|-------------------------|----------------------|-----------------------------|--------------------|-----------------------------------|------------------------|----------------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| <u>Administrative Services, Dept. of</u> | | | | | | | | | | |
| Administrative Services | 84.18 | 71.30 | -12.88 | 78.37 | 77.74 | -0.63 | 73.49 | -4.25 | 73.49 | 0.00 |
| Administrative Services, Dept. | 1.00 | 1.00 | 0.00 | 1.00 | 1.00 | 0.00 | 1.00 | 0.00 | 1.00 | 0.00 |
| Utilities | 6.88 | 3.96 | -2.92 | 5.00 | 5.00 | 0.00 | 7.00 | 2.00 | 7.00 | 0.00 |
| Terrace Hill Operations | | | | | | | | | | |
| Iowa Building Operations | 7.00 | 6.65 | -0.35 | 6.78 | 6.74 | -0.04 | 0.00 | -6.74 | 0.00 | 0.00 |
| Total Administrative Services, Dept. of | 99.06 | 82.92 | -16.14 | 91.15 | 90.48 | -0.67 | 81.49 | -8.99 | 81.49 | 0.00 |
| <u>Auditor of State</u> | | | | | | | | | | |
| Auditor Of State | 103.00 | 102.22 | -0.78 | 103.00 | 103.00 | 0.00 | 103.00 | 0.00 | 103.00 | 0.00 |
| Auditor of State - General Office | | | | | | | | | | |
| Total Auditor of State | 103.00 | 102.22 | -0.78 | 103.00 | 103.00 | 0.00 | 103.00 | 0.00 | 103.00 | 0.00 |
| <u>Ethics and Campaign Disclosure</u> | | | | | | | | | | |
| Campaign Finance Disclosure | 5.00 | 4.87 | -0.13 | 5.00 | 5.00 | 0.00 | 5.00 | 0.00 | 5.00 | 0.00 |
| Ethics & Campaign Disclosure Board | | | | | | | | | | |
| Total Ethics and Campaign Disclosure | 5.00 | 4.87 | -0.13 | 5.00 | 5.00 | 0.00 | 5.00 | 0.00 | 5.00 | 0.00 |

Administration and Regulation

FTE Positions

| | Final Action FY 2012 | Actual FY 2012 | Actual vs Final Act FY 2012 | Final Action FY 2013 | Estimated FY 2013 | Est vs Final Act FY 2013 | Gov Rec FY 2014 | Gov Rec FY 2014 vs Est FY 2013 | Gov Rec YR2 FY 2015 | Gov Rec YR2 vs Est FY 2013 | Gov Rec 2014 vs Gov Rec 2015 |
|---------------------------------------|-------------------------|-------------------|--------------------------------|-------------------------|----------------------|-----------------------------|--------------------|-----------------------------------|------------------------|-------------------------------|---------------------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) | |
| <u>Commerce Dept. of</u> | | | | | | | | | | | |
| Alcoholic Beverages Operations | 21.00 | 16.05 | -4.95 | 18.50 | 15.00 | -3.50 | 15.00 | 0.00 | 15.00 | 0.00 | 0.00 |
| Total Alcoholic Beverages | 21.00 | 16.05 | -4.95 | 18.50 | 15.00 | -3.50 | 15.00 | 0.00 | 15.00 | 0.00 | 0.00 |
| Professional Licensing and Reg. | 12.00 | 9.64 | -2.36 | 12.00 | 12.50 | 0.50 | 12.50 | 0.00 | 12.50 | 0.00 | 0.00 |
| Professional Licensing Bureau | 12.00 | 9.64 | -2.36 | 12.00 | 12.50 | 0.50 | 12.50 | 0.00 | 12.50 | 0.00 | 0.00 |
| Total Professional Licensing and Reg. | 12.00 | 9.64 | -2.36 | 12.00 | 12.50 | 0.50 | 12.50 | 0.00 | 12.50 | 0.00 | 0.00 |
| Banking Division | 80.00 | 68.48 | -11.52 | 70.50 | 68.50 | -2.00 | 74.50 | 6.00 | 74.50 | 0.00 | 0.00 |
| Banking Division - CMRF | 80.00 | 68.48 | -11.52 | 70.50 | 68.50 | -2.00 | 74.50 | 6.00 | 74.50 | 0.00 | 0.00 |
| Credit Union Division | 19.00 | 13.25 | -5.75 | 15.00 | 14.00 | -1.00 | 14.00 | 0.00 | 14.00 | 0.00 | 0.00 |
| Credit Union Division - CMRF | 19.00 | 13.25 | -5.75 | 15.00 | 14.00 | -1.00 | 14.00 | 0.00 | 14.00 | 0.00 | 0.00 |
| Insurance Division | 106.50 | 96.37 | -10.13 | 99.50 | 100.15 | 0.65 | 100.15 | 0.00 | 100.15 | 0.00 | 0.00 |
| Insurance Division - CMRF | 106.50 | 96.37 | -10.13 | 99.50 | 100.15 | 0.65 | 100.15 | 0.00 | 100.15 | 0.00 | 0.00 |
| Total Insurance Division | 106.50 | 96.37 | -10.13 | 99.50 | 100.15 | 0.65 | 100.15 | 0.00 | 100.15 | 0.00 | 0.00 |
| Utilities Division | 79.00 | 63.23 | -15.77 | 79.00 | 79.00 | 0.00 | 79.00 | 0.00 | 79.00 | 0.00 | 0.00 |
| Utilities Division - CMRF | 79.00 | 63.23 | -15.77 | 79.00 | 79.00 | 0.00 | 79.00 | 0.00 | 79.00 | 0.00 | 0.00 |
| Nuclear Power Reg. - CMRF | 3.50 | 0.00 | -3.50 | 3.50 | 0.00 | -3.50 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Total Utilities Division | 82.50 | 63.23 | -19.27 | 82.50 | 79.00 | -3.50 | 79.00 | 0.00 | 79.00 | 0.00 | 0.00 |
| Total Commerce, Dept. of | 321.00 | 267.02 | -53.98 | 298.00 | 289.15 | -8.85 | 295.15 | 6.00 | 295.15 | 6.00 | 0.00 |
| <u>Governor</u> | | | | | | | | | | | |
| Governor's Office | 22.88 | 24.07 | 1.19 | 20.00 | 23.00 | 3.00 | 23.00 | 0.00 | 23.00 | 0.00 | 0.00 |
| Governor/Lt. Governor's Office | 0.00 | 0.11 | 0.11 | 2.00 | 1.93 | -0.07 | 0.00 | -1.93 | 0.00 | 0.00 | 0.00 |
| Terrace Hill Quarters | 2.00 | 0.00 | -2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Statewide Volunteer Program | | | | | | | | | | | |
| Total Governor | 24.88 | 24.18 | -0.70 | 22.00 | 24.93 | 2.93 | 23.00 | -1.93 | 23.00 | 0.00 | 0.00 |

Administration and Regulation

FTE Positions

| | Final Action FY 2012 | Actual FY 2012 (1) | Actual vs Final Act FY 2012 (2) | Final Action FY 2013 (3) | Estimated FY 2013 (4) | Est vs Final Act FY 2013 (5) | Gov Rec FY 2014 (6) | Gov Rec vs Est FY 2013 (7) | Gov Rec FY 2014 vs Est FY 2013 (8) | Gov Rec YR2 FY 2015 (9) | Gov Rec FY 2015 (10) |
|---|-------------------------|--------------------------|---------------------------------------|--------------------------------|-----------------------------|------------------------------------|---------------------------|----------------------------------|--|-------------------------------|-------------------------|
| Governor's Office of Drug Control Policy | | | | | | | | | | | |
| Office of Drug Control Policy | 8.00 | 7.52 | -0.48 | 4.00 | 4.00 | 0.00 | 4.00 | 0.00 | 4.00 | 4.00 | 0.00 |
| Drug Policy Coordinator | 8.00 | 7.52 | -0.48 | 4.00 | 4.00 | 0.00 | 4.00 | 0.00 | 4.00 | 4.00 | 0.00 |
| Total Governor's Office of Drug Control Policy | 8.00 | 7.52 | -0.48 | 4.00 | 4.00 | 0.00 | 4.00 | 0.00 | 4.00 | 4.00 | 0.00 |
| Human Rights, Dept. of | | | | | | | | | | | |
| Human Rights, Department of | 7.00 | 5.28 | -1.72 | 5.35 | 5.56 | 0.21 | 5.65 | 0.09 | 5.65 | 5.65 | 0.00 |
| Human Rights Administration | 17.00 | 7.14 | -9.86 | 9.38 | 9.36 | -0.02 | 9.62 | 0.26 | 9.62 | 9.62 | 0.00 |
| Community Advocacy and Services | 10.00 | 9.95 | -0.05 | 10.00 | 9.81 | -0.19 | 9.81 | 0.00 | 9.81 | 9.81 | 0.00 |
| Total Human Rights, Dept. of | 34.00 | 22.36 | -11.64 | 24.73 | 24.73 | 0.00 | 25.08 | 0.35 | 25.08 | 25.08 | 0.00 |
| Inspections & Appeals, Dept. of | | | | | | | | | | | |
| Inspections and Appeals, Dept. of | 37.40 | 33.76 | -3.64 | 14.25 | 11.90 | -2.35 | 13.65 | 1.75 | 13.65 | 13.65 | 0.00 |
| Administration Division | 23.00 | 22.96 | -0.04 | 23.00 | 23.00 | 0.00 | 23.00 | 0.00 | 23.00 | 23.00 | 0.00 |
| Administrative Hearings Division | 58.50 | 53.57 | -4.93 | 58.50 | 57.50 | -1.00 | 61.50 | 4.00 | 61.50 | 61.50 | 0.00 |
| Investigations Division | 134.75 | 118.95 | -15.80 | 121.75 | 115.75 | -6.00 | 113.00 | -2.75 | 113.00 | 113.00 | 0.00 |
| Health Facilities Division | 14.00 | 13.93 | -0.07 | 14.00 | 11.00 | -3.00 | 11.00 | 0.00 | 11.00 | 11.00 | 0.00 |
| Employment Appeal Board | 40.80 | 31.75 | -9.05 | 32.35 | 32.25 | -0.10 | 32.25 | 0.00 | 32.25 | 32.25 | 0.00 |
| Child Advocacy Board | 0.00 | 0.48 | 0.48 | 21.00 | 23.25 | 2.25 | 23.25 | 0.00 | 23.25 | 23.25 | 0.00 |
| Food and Consumer Safety | 2.00 | 0.00 | -2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Investigations Div FTEs (SF 313) | 310.45 | 275.39 | -35.06 | 284.85 | 274.65 | -10.20 | 277.65 | 3.00 | 277.65 | 277.65 | 0.00 |
| Total Inspections and Appeals, Dept. of | | | | | | | | | | | |
| Racing Commission | 28.53 | 22.76 | -5.77 | 32.03 | 32.03 | 0.00 | 32.03 | 0.00 | 32.03 | 32.03 | 0.00 |
| Pari-Mutuel Regulation Fund | 44.22 | 37.52 | -6.70 | 40.72 | 40.72 | 0.00 | 40.72 | 0.00 | 40.72 | 40.72 | 0.00 |
| Riverboat Regulation Fund | 72.75 | 60.27 | -12.48 | 72.75 | 72.75 | 0.00 | 72.75 | 0.00 | 72.75 | 72.75 | 0.00 |
| Total Racing Commission | 383.20 | 335.66 | -47.54 | 357.60 | 347.40 | -10.20 | 350.40 | 3.00 | 350.40 | 350.40 | 0.00 |
| Management, Dept. of | | | | | | | | | | | |
| Management, Dept. of | 25.00 | 21.04 | -3.96 | 20.00 | 21.00 | 1.00 | 21.00 | 0.00 | 21.00 | 21.00 | 0.00 |
| Department Operations | 25.00 | 21.04 | -3.96 | 20.00 | 21.00 | 1.00 | 21.00 | 0.00 | 21.00 | 21.00 | 0.00 |
| Total Management, Dept. of | | | | | | | | | | | |

Administration and Regulation

FTE Positions

| | Final Action FY 2012 | Actual FY 2012 | Actual vs Final Act FY 2012 | Final Action FY 2013 | Estimated FY 2013 | Est vs Final Act FY 2013 | Gov Rec FY 2014 | Gov Rec FY 2014 vs Est FY 2013 | Gov Rec YR2 FY 2015 | Gov Rec YR2 Gov FY 2015 |
|--|-------------------------|-------------------|--------------------------------|-------------------------|----------------------|-----------------------------|--------------------|-----------------------------------|------------------------|----------------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| Revenue, Dept. of | | | | | | | | | | |
| Revenue, Dept. of Revenue, Department of | 303.48 | 240.80 | -62.68 | 309.00 | 244.53 | -64.47 | 242.24 | -2.29 | 242.24 | 0.00 |
| Total Revenue, Dept. of | 303.48 | 240.80 | -62.68 | 309.00 | 244.53 | -64.47 | 242.24 | -2.29 | 242.24 | 0.00 |
| Secretary of State | | | | | | | | | | |
| Secretary of State - Operations | 45.00 | 30.47 | -14.53 | 34.00 | 31.00 | -3.00 | 29.00 | -2.00 | 30.00 | 1.00 |
| Total Secretary of State | 45.00 | 30.47 | -14.53 | 34.00 | 31.00 | -3.00 | 29.00 | -2.00 | 30.00 | 1.00 |
| Treasurer of State | | | | | | | | | | |
| Treasurer of State - General Office | 28.80 | 28.13 | -0.67 | 28.80 | 28.80 | 0.00 | 28.80 | 0.00 | 28.80 | 0.00 |
| Total Treasurer of State | 28.80 | 28.13 | -0.67 | 28.80 | 28.80 | 0.00 | 28.80 | 0.00 | 28.80 | 0.00 |
| IPERS Administration | | | | | | | | | | |
| IPERS Administration | 90.13 | 80.73 | -9.40 | 90.13 | 90.13 | 0.00 | 90.13 | 0.00 | 90.13 | 0.00 |
| Total IPERS Administration | 90.13 | 80.73 | -9.40 | 90.13 | 90.13 | 0.00 | 90.13 | 0.00 | 90.13 | 0.00 |
| Public Information Board | | | | | | | | | | |
| Public Information Board | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 5.00 | 5.00 | 5.00 | 0.00 |
| Iowa Public Information Board | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 5.00 | 5.00 | 5.00 | 0.00 |
| Total Public Information Board | 1,470.55 | 1,247.91 | -222.64 | 1,387.41 | 1,304.15 | -83.26 | 1,303.29 | -0.86 | 1,304.29 | 1.00 |
| Total Administration and Regulation | | | | | | | | | | |

Appendix D

Historical Appropriations FY 2005 – Gov. Rec. FY 2014

Note: The historical FTE report contains both appropriated and nonappropriated FTE positions to show total FTE usage.

Administration and Regulation

General Fund

| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | Actual FY 2011 | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----------------------|--------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| Administrative Services, Dept. of | | | | | | | | | | |
| Administrative Services, Dept. | \$ 5,248,434 | \$ 5,048,824 | \$ 6,096,632 | \$ 6,469,186 | \$ 6,316,905 | \$ 4,814,309 | \$ 4,467,583 | \$ 4,020,344 | \$ 4,020,344 | \$ 4,020,322 |
| Utilities | 2,576,000 | 3,080,865 | 4,080,865 | 3,824,800 | 3,643,197 | 3,127,085 | 3,126,547 | 2,626,460 | 2,676,460 | 2,676,460 |
| Terrace Hill Operations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 405,914 | 405,914 | 499,025 |
| I3 Distribution | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,277,946 | 3,277,946 | 3,277,946 |
| Iowa Building Operations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 995,535 | 995,535 | 0 |
| Technology Procurement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2,113,169 | 0 | 0 |
| Shuttle Service | 0 | 0 | 0 | 0 | 120,000 | 0 | 0 | 0 | 0 | 0 |
| DAS Distribution Account | 1,032,852 | -71,714 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| DAS - Revolving Fund | 1,889,610 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Administration | 0 | 200,000 | 200,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Administrative Services, Dept. of | \$ 10,746,896 | \$ 8,257,975 | \$ 10,371,497 | \$ 10,413,986 | \$ 9,960,102 | \$ 7,941,394 | \$ 9,970,628 | \$ 11,326,199 | \$ 11,376,199 | \$ 10,473,753 |
| Auditor of State | | | | | | | | | | |
| Auditor Of State | \$ 1,172,208 | \$ 1,207,341 | \$ 1,211,873 | \$ 1,249,178 | \$ 1,233,691 | \$ 814,921 | \$ 904,193 | \$ 905,468 | \$ 905,468 | \$ 995,968 |
| Auditor of State - General Office | \$ 1,172,208 | \$ 1,207,341 | \$ 1,211,873 | \$ 1,249,178 | \$ 1,233,691 | \$ 814,921 | \$ 904,193 | \$ 905,468 | \$ 905,468 | \$ 995,968 |
| Total Auditor of State | | | | | | | | | | |
| Ethics and Campaign Disclosure | | | | | | | | | | |
| Campaign Finance Disclosure | \$ 433,245 | \$ 487,023 | \$ 512,669 | \$ 532,122 | \$ 537,256 | \$ 470,700 | \$ 371,910 | \$ 475,000 | \$ 490,000 | \$ 490,000 |
| Ethics & Campaign Disclosure Board | \$ 433,245 | \$ 487,023 | \$ 512,669 | \$ 532,122 | \$ 537,256 | \$ 470,700 | \$ 371,910 | \$ 475,000 | \$ 490,000 | \$ 490,000 |
| Total Ethics and Campaign Disclosure | | | | | | | | | | |
| Commerce, Dept. of | | | | | | | | | | |
| Alcoholic Beverages | \$ 1,883,441 | \$ 1,930,962 | \$ 2,057,289 | \$ 2,079,509 | \$ 2,080,358 | \$ 1,806,444 | \$ 1,449,887 | \$ 1,220,391 | \$ 1,220,391 | \$ 1,220,391 |
| Alcoholic Beverages Operations | | | | | | | | | | |
| Banking Division | \$ 6,364,545 | \$ 7,059,508 | \$ 7,594,741 | \$ 8,200,316 | \$ 8,662,670 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Banking Division | | | | | | | | | | |
| Credit Union Division | \$ 1,382,568 | \$ 1,455,874 | \$ 1,517,726 | \$ 1,671,740 | \$ 1,727,995 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Credit Union Division | | | | | | | | | | |

Administration and Regulation

General Fund

| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | Actual FY 2011 | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 |
|--|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|---------------------|---------------------|----------------------|---------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| Insurance Division | | | | | | | | | | |
| Senior Health Insurance Information Program | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 59,100 | \$ 47,028 | \$ 0 | \$ 0 | \$ 0 |
| Health Insurance Oversight | 0 | 0 | 0 | 0 | 0 | 78,800 | 0 | 0 | 0 | 0 |
| Insurance Division | 3,870,891 | 4,517,481 | 4,655,809 | 4,857,123 | 4,881,216 | 0 | 0 | 0 | 0 | 0 |
| Long Term Care | 0 | 300,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Insurance Division | \$ 3,870,891 | \$ 4,817,481 | \$ 4,655,809 | \$ 4,857,123 | \$ 5,019,116 | \$ 47,028 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Utilities Division | | | | | | | | | | |
| Utilities Division | \$ 6,898,108 | \$ 7,230,820 | \$ 7,266,919 | \$ 7,573,402 | \$ 7,795,527 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Professional Licensing and Reg. | \$ 782,671 | \$ 863,462 | \$ 898,343 | \$ 945,982 | \$ 933,521 | \$ 810,498 | \$ 644,825 | \$ 600,353 | \$ 600,353 | \$ 600,353 |
| Professional Licensing Bureau | \$ 21,182,225 | \$ 23,388,107 | \$ 23,990,827 | \$ 25,328,072 | \$ 26,219,187 | \$ 26,633,970 | \$ 2,094,712 | \$ 1,820,744 | \$ 1,820,744 | \$ 1,820,744 |
| Total Commerce, Dept. of | \$ 21,182,225 | \$ 23,388,107 | \$ 23,990,827 | \$ 25,328,072 | \$ 26,219,187 | \$ 26,633,970 | \$ 2,094,712 | \$ 1,820,744 | \$ 1,820,744 | \$ 1,820,744 |
| Iowa Tele & Tech Commission | | | | | | | | | | |
| Iowa Communications Network | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Regional Telecom Councils | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Total Iowa Tele & Tech Commission | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Governor | | | | | | | | | | |
| Governor's Office | | | | | | | | | | |
| Presidential Elections | \$ 1,569,857 | \$ 1,823,111 | \$ 1,945,326 | \$ 2,224,462 | \$ 2,534,982 | \$ 2,064,471 | \$ 1,972,752 | \$ 2,288,025 | \$ 2,194,914 | \$ 2,194,914 |
| Governor/ILt. Governor's Office | 343,149 | 378,633 | 506,310 | 492,593 | 515,367 | 394,291 | 127,075 | 0 | 93,111 | 0 |
| Terrace Hill Quarters | 136,458 | 150,013 | 154,755 | 158,873 | 175,552 | 127,167 | 122,829 | 0 | 0 | 0 |
| Administrative Rules Coordinator | 164,393 | 64,393 | 80,600 | 80,600 | 80,600 | 70,783 | 70,783 | 0 | 0 | 0 |
| National Governor's Association | 111,236 | 115,748 | 123,927 | 131,222 | 141,235 | 41,958 | 40,832 | 0 | 0 | 0 |
| State-Federal Relations | | | | | | | | | | |
| Total Governor's Office | \$ 2,325,675 | \$ 2,531,898 | \$ 2,810,918 | \$ 3,087,750 | \$ 3,447,736 | \$ 2,698,670 | \$ 2,334,271 | \$ 2,288,025 | \$ 2,194,914 | \$ 2,194,914 |
| Governor Elect Expenses | \$ 0 | \$ 0 | \$ 170,000 | \$ 0 | \$ 0 | \$ 0 | \$ 10,000 | \$ 0 | \$ 0 | \$ 0 |
| Governor Elect Expenses | \$ 2,325,675 | \$ 2,531,898 | \$ 2,980,918 | \$ 3,087,750 | \$ 3,447,736 | \$ 2,698,670 | \$ 2,344,271 | \$ 2,288,025 | \$ 2,194,914 | \$ 2,194,914 |
| Total Governor | \$ 2,325,675 | \$ 2,531,898 | \$ 2,980,918 | \$ 3,087,750 | \$ 3,447,736 | \$ 2,698,670 | \$ 2,344,271 | \$ 2,288,025 | \$ 2,194,914 | \$ 2,194,914 |

Administration and Regulation

General Fund

| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | Actual FY 2011 | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----------------------|--------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| Governor's Office of Drug Control Policy | | | | | | | | | | |
| Office of Drug Control Policy | \$ 263,195 | \$ 307,730 | \$ 309,048 | \$ 346,731 | \$ 357,866 | \$ 313,531 | \$ 346,213 | \$ 290,000 | \$ 240,000 | \$ 240,000 |
| Drug Policy Coordinator | 0 | 0 | 0 | 1,400,000 | 1,729,812 | 0 | 0 | 0 | 0 | 0 |
| Drug Task Forces | | | | | | | | | | |
| Total Governor's Office of Drug Control Policy | \$ 263,195 | \$ 307,730 | \$ 309,048 | \$ 1,746,731 | \$ 2,087,678 | \$ 313,531 | \$ 346,213 | \$ 290,000 | \$ 240,000 | \$ 240,000 |
| Human Rights, Dept. of | | | | | | | | | | |
| Human Rights, Department of | | | | | | | | | | |
| Human Rights Administration | \$ 312,660 | \$ 317,028 | \$ 326,425 | \$ 356,535 | \$ 359,087 | \$ 274,773 | \$ 205,636 | \$ 206,103 | \$ 206,103 | \$ 206,103 |
| Community Advocacy and Services | 0 | 0 | 0 | 0 | 0 | 0 | 1,120,915 | 1,028,077 | 1,028,077 | 1,028,077 |
| Asian and Pacific Islanders | 0 | 6,000 | 86,000 | 127,093 | 149,658 | 120,087 | 0 | 0 | 0 | 0 |
| Deaf Services | 362,710 | 314,367 | 390,315 | 413,700 | 424,859 | 340,913 | 0 | 0 | 0 | 0 |
| Persons with Disabilities | 184,971 | 193,531 | 194,212 | 206,221 | 233,555 | 187,408 | 0 | 0 | 0 | 0 |
| Latino Affairs | 166,718 | 170,749 | 179,433 | 191,035 | 199,759 | 160,290 | 0 | 0 | 0 | 0 |
| Status of Women | 329,530 | 335,501 | 343,555 | 353,203 | 354,299 | 284,295 | 0 | 0 | 0 | 0 |
| Status of African Americans | 118,296 | 121,655 | 134,725 | 372,066 | 187,080 | 150,116 | 0 | 0 | 0 | 0 |
| Criminal & Juvenile Justice | 403,774 | 827,398 | 1,098,026 | 1,587,333 | 1,601,076 | 1,284,725 | 1,141,883 | 1,023,892 | 1,100,105 | 1,100,105 |
| LIHEAP State Funds | 0 | 3,000,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dev., Assess., & Resolution Prog. | 0 | 0 | 0 | 0 | 0 | 9,850 | 0 | 0 | 0 | 0 |
| Status of Native Americans | 0 | 0 | 0 | 0 | 0 | 5,910 | 4,817 | 0 | 0 | 0 |
| Total Human Rights, Dept. of | \$ 1,878,659 | \$ 5,346,229 | \$ 2,752,691 | \$ 3,607,186 | \$ 3,525,133 | \$ 2,807,424 | \$ 2,468,434 | \$ 2,258,072 | \$ 2,334,285 | \$ 2,334,285 |
| Inspections & Appeals, Dept. of | | | | | | | | | | |
| Inspections and Appeals, Dept. of | | | | | | | | | | |
| Administration Division | \$ 1,661,342 | \$ 1,577,318 | \$ 1,711,675 | \$ 2,209,075 | \$ 2,248,855 | \$ 1,804,510 | \$ 1,629,656 | \$ 1,527,740 | \$ 248,409 | \$ 519,403 |
| Administrative Hearings Division | 614,114 | 634,647 | 680,533 | 708,962 | 759,690 | 609,385 | 58,7493 | 528,753 | 528,753 | 678,942 |
| Investigations Division | 1,407,295 | 1,494,421 | 1,526,415 | 1,591,501 | 1,629,666 | 1,307,666 | 1,240,626 | 1,168,639 | 1,168,639 | 2,573,089 |
| Health Facilities Division | 2,276,836 | 2,419,742 | 2,412,647 | 2,498,437 | 2,507,242 | 2,011,845 | 3,787,952 | 3,555,328 | 3,917,666 | 5,092,033 |
| Employment Appeal Board | 52,869 | 54,600 | 56,294 | 58,117 | 57,724 | 46,318 | 44,746 | 42,215 | 42,215 | 42,215 |
| Child Advocacy Board | 1,962,059 | 2,068,667 | 2,218,308 | 2,751,058 | 2,860,637 | 2,628,330 | 2,678,008 | 2,680,290 | 2,680,290 | 2,680,590 |
| Targeted Small Business Cert. | 0 | 0 | 150,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Food and Consumer Safety | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,279,331 | 1,279,331 |
| Total Inspections and Appeals, Dept. of | \$ 7,974,515 | \$ 8,239,395 | \$ 9,325,240 | \$ 10,063,814 | \$ 8,408,254 | \$ 9,968,381 | \$ 9,502,965 | \$ 9,865,303 | \$ 12,865,603 | \$ 12,865,603 |

Administration and Regulation

General Fund

| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | Actual FY 2011 | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 |
|---|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| Racing Commission | | | | | | | | | | |
| Pari-Mutuel Regulation | \$ 2,208,807 | \$ 2,617,511 | \$ 2,671,410 | \$ 2,790,551 | \$ 2,930,682 | \$ 2,637,614 | \$ 2,495,376 | \$ 0 | \$ 0 | \$ 0 |
| Riverboat Regulation | 1,863,403 | 2,491,949 | 3,199,440 | 3,207,944 | 3,372,069 | 3,034,862 | 3,078,100 | 0 | 0 | 0 |
| Pari-Mutuel Investigations | 217,161 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Racing Commission | <u>\$ 4,289,371</u> | <u>\$ 5,109,460</u> | <u>\$ 5,870,880</u> | <u>\$ 5,998,495</u> | <u>\$ 6,302,751</u> | <u>\$ 5,672,476</u> | <u>\$ 5,573,476</u> | <u>\$ 0</u> | <u>\$ 0</u> | <u>\$ 0</u> |
| Total Inspections & Appeals, Dept. of Management, Dept. of | <u><u>\$ 12,263,887</u></u> | <u><u>\$ 13,348,855</u></u> | <u><u>\$ 14,626,722</u></u> | <u><u>\$ 15,823,735</u></u> | <u><u>\$ 16,366,565</u></u> | <u><u>\$ 14,080,730</u></u> | <u><u>\$ 15,541,857</u></u> | <u><u>\$ 9,502,965</u></u> | <u><u>\$ 9,865,303</u></u> | <u><u>\$ 12,865,603</u></u> |
| Management, Dept. of | | | | | | | | | | |
| Department Operations | \$ 2,164,904 | \$ 2,244,335 | \$ 2,313,941 | \$ 3,178,337 | \$ 3,253,620 | \$ 2,730,360 | \$ 1,993,328 | \$ 2,393,998 | \$ 2,393,998 | \$ 2,498,998 |
| Enterprise Resource Planning | 57,435 | 57,435 | 119,435 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Local Government Innovation Fund | 0 | 0 | 300,000 | 300,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Salary Model Administrator | 123,598 | 127,936 | 131,792 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Performance Audit | 0 | 216,000 | 108,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Institute for Tomorrow's Workforce | 0 | 150,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| DON - LEAN/Process Improvement | 0 | 0 | 108,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Grants Enterprise Management | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Management, Dept. of | <u><u>\$ 2,345,931</u></u> | <u><u>\$ 2,705,706</u></u> | <u><u>\$ 3,081,168</u></u> | <u><u>\$ 3,478,337</u></u> | <u><u>\$ 3,253,620</u></u> | <u><u>\$ 2,730,360</u></u> | <u><u>\$ 2,163,998</u></u> | <u><u>\$ 2,393,998</u></u> | <u><u>\$ 2,393,998</u></u> | <u><u>\$ 2,498,998</u></u> |
| Rebuild Iowa Office | | | | | | | | | | |
| Rebuild Iowa Office | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Total Rebuild Iowa Office | <u><u>\$ 0</u></u> |
| Revenue, Dept. of | | | | | | | | | | |
| Revenue, Dept. of Collection Costs and Fees | \$ 27,462 | \$ 27,462 | \$ 24,460,828 | \$ 26,472,699 | \$ 26,332,296 | \$ 22,729,219 | \$ 18,625,258 | \$ 17,659,484 | \$ 17,659,484 | \$ 0 |
| Revenue, Department of Tax Amnesty-Auditing and Enforcement | 25,205,162 | 27,001,429 | 0 | 150,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Revenue Examiners | 0 | 0 | 0 | 0 | 0 | 0 | 315,801 | 0 | 0 | 0 |
| State Debt Coordinator | 0 | 0 | 0 | 0 | 0 | 0 | 300,000 | 0 | 0 | 0 |
| Total Revenue, Dept. of | <u><u>\$ 25,232,624</u></u> | <u><u>\$ 27,028,891</u></u> | <u><u>\$ 24,460,828</u></u> | <u><u>\$ 26,622,699</u></u> | <u><u>\$ 26,332,296</u></u> | <u><u>\$ 23,029,219</u></u> | <u><u>\$ 18,941,059</u></u> | <u><u>\$ 17,659,484</u></u> | <u><u>\$ 17,659,484</u></u> | <u><u>\$ 0</u></u> |

Administration and Regulation

General Fund

| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | Actual FY 2011 | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| Secretary of State | | | | | | | | | | |
| Secretary of State/Elections/Voter Registration | \$ 660,233 | \$ 707,942 | \$ 734,580 | \$ 1,370,063 | \$ 1,515,404 | \$ 2,895,585 | \$ 2,892,261 | \$ 2,895,585 | \$ 2,895,585 | \$ 0 |
| Secretary of State - Operations | 1,684,012 | 2,003,091 | 2,155,151 | 2,012,018 | 1,986,241 | 0 | 0 | 0 | 0 | 2,895,585 |
| Biennial Reporting | 0 | 275,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Secretary of State | \$ 2,344,245 | \$ 2,986,033 | \$ 2,889,731 | \$ 3,382,081 | \$ 3,501,645 | \$ 2,895,585 | \$ 2,892,261 | \$ 2,895,585 | \$ 2,895,585 | \$ 2,895,585 |
| Treasurer of State | | | | | | | | | | |
| Treasurer of State | \$ 822,261 | \$ 922,899 | \$ 962,520 | \$ 1,027,970 | \$ 1,064,651 | \$ 854,289 | \$ 854,265 | \$ 854,289 | \$ 854,289 | \$ 854,289 |
| Treasurer - General Office | | | | | | | | | | |
| Total Treasurer of State | \$ 822,261 | \$ 922,899 | \$ 962,520 | \$ 1,027,970 | \$ 1,064,651 | \$ 854,289 | \$ 854,265 | \$ 854,289 | \$ 854,289 | \$ 854,289 |
| Public Information Board | | | | | | | | | | |
| Public Information Board | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Iowa Public Information Board | | | | | | | | | | |
| Total Public Information Board | \$ 0 |
| Total Administration and Regulation | | | | | | | | | | |
| | \$ 81,011,056 | \$ 88,578,687 | \$ 88,156,492 | \$ 96,299,847 | \$ 97,529,560 | \$ 61,479,242 | \$ 59,366,162 | \$ 52,669,829 | \$ 54,116,293 | \$ 56,806,536 |

Administration and Regulation

| | Other Funds | | | | | | Actual FY 2011 | Estimated FY 2013 | Gov Rec FY 2014 |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----------------------|--------------------|
| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | | | |
| | (1) | (2) | (3) | (4) | (5) | (6) | | | |
| Administrative Services, Dept. of | | | | | | | | | |
| Administrative Services | \$ 491,752 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 100,000 | \$ 0 | \$ 0 |
| DAS Operations - FRRF | 84,951 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Primary Road Approv. | 89,416 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Road Use Tax Approv. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ready To Work Program | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Terrace Hill Operations - CRF | 0 | 0 | 0 | 0 | 0 | 0 | 168,494 | 0 | 0 |
| Autism Coverage - UST | 0 | 0 | 0 | 0 | 0 | 0 | 140,000 | 0 | 0 |
| Medication Therapy Management - UST | 0 | 0 | 0 | 0 | 0 | 0 | 543,000 | 0 | 0 |
| Total Administrative Services, Dept. of | \$ 666,119 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 100,000 | \$ 85,1494 | \$ 0 |
| Commerce, Dept. of | | | | | | | | | |
| Banking Division | \$ 0 | \$ 0 | 0 | 0 | 0 | 0 | \$ 8,662,670 | \$ 8,814,932 | \$ 8,851,670 |
| Banking Division - CMRF | | | | | | | | | \$ 9,098,170 |
| Credit Union Division | \$ 0 | \$ 0 | 0 | 0 | 0 | 0 | \$ 1,727,995 | \$ 1,722,097 | \$ 1,727,995 |
| Credit Union Division - CMRF | | | | | | | | | \$ 1,792,995 |
| Insurance Division | \$ 0 | \$ 0 | 0 | 0 | 0 | 0 | \$ 4,881,216 | \$ 4,914,534 | \$ 4,983,244 |
| Insurance Division - CMRF | | | | | | | | | \$ 5,023,244 |
| Insurance Division Operations - CMRF | 0 | 0 | 0 | 0 | 0 | 0 | 54,999 | 0 | 0 |
| Insurance Information Exchange - UST | 0 | 0 | 0 | 0 | 0 | 0 | 147,000 | 0 | 0 |
| Total Insurance Division | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 4,881,216 | \$ 5,116,533 | \$ 4,983,244 |
| Utilities Division | \$ 0 | \$ 0 | 0 | 0 | 0 | 0 | \$ 8,256,654 | \$ 8,149,457 | \$ 8,173,069 |
| Utilities Division - CMRF | | | | | | | | | \$ 8,173,069 |
| Professional Licensing and Reg. | \$ 0 | \$ 0 | 0 | 0 | 0 | 0 | \$ 62,317 | \$ 62,317 | \$ 62,317 |
| Field Auditor - Housing Impr. Fund | 62,317 | 62,317 | 62,317 | 62,317 | 62,317 | 62,317 | 0 | 0 | 62,317 |
| Real Estate Trust Account Audit | 62,317 | 62,317 | 62,317 | 62,317 | 62,317 | 62,317 | 0 | 0 | 0 |
| Total Professional Licensing and Reg. | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 62,317 |
| Total Commerce, Dept. of | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 62,317 | \$ 23,590,852 | \$ 23,586,5336 | \$ 24,109,796 |
| Human Rights, Dept. of | | | | | | | | | |
| Human Rights, Department of | \$ 0 | \$ 0 | 0 | 0 | 0 | 0 | \$ 150,000 | \$ 0 | \$ 0 |
| Individual Development Accounts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 140,000 | 0 |
| Public Safety Advisory Board - UST | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Human Rights, Dept. of | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 150,000 | \$ 140,000 | \$ 0 |

Administration and Regulation

| | Other Funds | | | Actual | | | Estimated | | | Gov Rec FY 2014 (10) | |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|----------------------|----------------------------|--|
| | | | | FY 2005 | | | FY 2006 | | | | |
| | | | | Actual | | | Actual | | | | |
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) | |
| Inspections & Appeals, Dept. of | | | | | | | | | | | |
| Medicaid Fraud - Health Facilities | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | |
| Medicaid Fraud - EBT Investigations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Medicaid Fraud - Dependent Adult | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Medicaid Fraud - Boarding Homes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| DIA - Med Fraud - Dependent Adult Abuse | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| DIA - RUTF | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Medical Fraud - Assisted Living | 800,000 | 758,474 | 790,751 | 1,183,303 | 1,339,527 | 1,339,527 | 0 | 0 | 0 | 0 | |
| DIA Ass't Living/Adult Day Care - SLTF | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| DIA Health Facility - FRRF | | | | | | | | | | | |
| DIA Use TaxRUTF | | | | | | | | | | | |
| Total Inspections and Appeals, Dept. of | \$ 1,424,042 | \$ 1,492,436 | \$ 1,543,342 | \$ 1,623,897 | \$ 1,623,897 | |
| Racing Commission | \$ 2,224,042 | \$ 2,240,910 | \$ 2,334,093 | \$ 2,807,200 | \$ 2,807,200 | \$ 2,807,200 | \$ 3,363,424 | \$ 3,363,424 | \$ 4,987,236 | \$ 4,987,236 | |
| Pari-Mutuel Regulation Fund | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | |
| Riverboat Regulation Fund | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Total Racing Commission | \$ 0 | \$ 0 | |
| Total Inspections & Appeals, Dept. of | \$ 2,224,042 | \$ 2,240,910 | \$ 2,334,093 | \$ 2,807,200 | \$ 2,807,200 | \$ 2,807,200 | \$ 3,363,424 | \$ 3,363,424 | \$ 10,809,999 | \$ 10,732,381 | |
| Management, Dept. of | | | | | | | | | | | |
| Management, Dept. of DOM Operations - RUTF | \$ 56,000 | \$ 56,000 | \$ 56,000 | \$ 56,000 | \$ 56,000 | \$ 56,000 | \$ 56,000 | \$ 56,000 | \$ 56,000 | \$ 56,000 | |
| DOM Operations - FRRF | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| DOM Operations - CRF | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Total Management, Dept. of | \$ 56,000 | \$ 256,000 | \$ 316,000 | \$ 56,000 | \$ 56,000 | |
| Revenue, Dept. of | | | | | | | | | | | |
| Revenue, Dept. of Motor Fuel Tax Admin - MVFT | \$ 1,215,068 | \$ 1,252,669 | \$ 1,291,841 | \$ 1,305,775 | \$ 1,305,775 | \$ 1,305,775 | \$ 1,305,775 | \$ 1,305,775 | \$ 1,305,775 | \$ 1,305,775 | |
| Total Revenue, Dept. of | \$ 1,215,068 | \$ 1,252,669 | \$ 1,291,841 | \$ 1,305,775 | \$ 1,305,775 | |
| Rebuild Iowa Office | | | | | | | | | | | |
| Rebuild Iowa Office | | | | | | | | | | | |
| RIO - Distribution to Affected Areas - EEF | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | |
| RIO - Long-Term Recovery Committees - EEF | | | | | | | | | | | |
| Total Rebuild Iowa Office | \$ 0 | \$ 0 | |

Administration and Regulation

| | Other Funds | | | | | | | | | |
|-------------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | Actual FY 2011 | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 |
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| Iowa Lottery Authority | | | | | | | | | | |
| Lottery Operations | \$ 10,116,863 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Total Iowa Lottery Authority | \$ 10,116,863 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Secretary of State | | | | | | | | | | |
| Secretary of State | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Redistricting - IowaAccess | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Total Secretary of State | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Treasurer of State | | | | | | | | | | |
| Treasurer of State | \$ 0 | \$ 0 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 |
| I-3 Expenses - RUTF | \$ 0 | \$ 0 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 |
| Total Treasurer of State | \$ 0 | \$ 0 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 | \$ 93,148 |
| IPERS Administration | | | | | | | | | | |
| IPERS Administration | \$ 9,158,475 | \$ 10,815,084 | \$ 16,945,241 | \$ 17,285,466 | \$ 17,844,663 | \$ 18,001,480 | \$ 17,606,229 | \$ 17,686,968 | \$ 17,686,968 | \$ 17,686,968 |
| Total IPERS Administration | \$ 9,158,475 | \$ 10,815,084 | \$ 16,945,241 | \$ 17,285,466 | \$ 17,844,663 | \$ 18,001,480 | \$ 17,606,229 | \$ 17,686,968 | \$ 17,686,968 | \$ 17,686,968 |
| Total Administration and Regulation | \$ 23,498,884 | \$ 14,426,980 | \$ 20,782,640 | \$ 21,609,906 | \$ 24,625,327 | \$ 24,625,327 | \$ 46,710,679 | \$ 48,515,218 | \$ 53,925,185 | \$ 53,984,067 |
| | <u>\$ 23,498,884</u> | <u>\$ 14,426,980</u> | <u>\$ 20,782,640</u> | <u>\$ 21,609,906</u> | <u>\$ 24,625,327</u> | <u>\$ 24,625,327</u> | <u>\$ 46,710,679</u> | <u>\$ 48,515,218</u> | <u>\$ 53,925,185</u> | <u>\$ 53,984,067</u> |
| | | | | | | | | | | |

Administration and Regulation

FTE Positions

| | Actual FY 2005 <u>(1)</u> | Actual FY 2006 <u>(2)</u> | Actual FY 2007 <u>(3)</u> | Actual FY 2008 <u>(4)</u> | Actual FY 2009 <u>(5)</u> | Actual FY 2010 <u>(6)</u> | Actual FY 2011 <u>(7)</u> | Actual FY 2012 <u>(8)</u> | Estimated FY 2013 <u>(9)</u> | Gov Rec FY 2014 <u>(10)</u> |
|--|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|------------------------------------|-----------------------------------|
| Administrative Services, Dept. of | | | | | | | | | | |
| Administrative Services, Dept. | | | | | | | | | | |
| Utilities | 69.56 | 108.82 | 101.44 | 100.23 | 87.33 | 73.80 | 71.30 | 77.44 | 73.49 | |
| Terrace Hill Operations | 0.50 | 1.24 | 1.58 | 2.45 | 0.96 | 0.99 | 1.00 | 1.00 | 1.00 | 1.00 |
| Terrace Hill Operations - CRF | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 3.96 | 5.00 | 7.00 | 7.00 |
| Iowa Building Operations | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.10 | 5.38 | 0.08 | 0.00 | 0.00 |
| Personnel Development Seminars | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.01 | 6.65 | 6.74 | 0.00 | 0.00 |
| 1.65 | 1.85 | 2.37 | 3.09 | 4.56 | 3.32 | 1.27 | 1.28 | 1.50 | 1.50 | |
| IT Operations Revolving Fund | 113.75 | 111.35 | 109.24 | 108.61 | 109.22 | 108.20 | 98.64 | 100.39 | 106.45 | 110.45 |
| LowAccess Revolving Fund | 0.00 | 0.00 | 0.30 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 13 | 0.89 | 19.80 | 13.89 | 12.87 | 14.95 | 15.20 | 16.29 | 18.44 | 16.00 | 16.00 |
| Centralized Purchasing - Administration | 12.58 | 11.90 | 11.41 | 13.50 | 12.91 | 10.99 | 12.01 | 18.90 | 17.90 | |
| Federal Surplus Property | 1.62 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Vehicle Dispatcher Revolving Fund | 11.47 | 8.43 | 7.52 | 7.52 | 8.71 | 9.13 | 8.41 | 6.41 | 11.95 | 11.95 |
| Motor Pool Revolving Fund | 1.27 | 1.22 | 1.44 | 1.82 | 2.11 | 2.63 | 3.11 | 2.43 | 2.40 | 2.90 |
| Self Insurance/Risk Management | 1.06 | 2.01 | 1.77 | 2.06 | 2.21 | 1.97 | 0.70 | 0.77 | 0.75 | 0.50 |
| Centralized Printing Revolving Fund | 11.56 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Mail Services Revolving Fund | 12.04 | 10.66 | 9.44 | 9.16 | 10.86 | 9.21 | 8.15 | 8.39 | 10.15 | |
| Human Resources Revolving Fund | 47.00 | 44.57 | 44.68 | 48.07 | 49.36 | 47.00 | 42.29 | 44.29 | 48.40 | 48.40 |
| Facility & Support Revolving Fund | 87.43 | 90.05 | 62.35 | 80.72 | 94.79 | 92.86 | 85.60 | 73.00 | 68.35 | 68.97 |
| Vehicle Dispatcher Operations | 0.10 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Building Energy Mgmt Fund | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.03 | 1.45 | 2.00 | 2.00 |
| Iowa Power Fund | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.62 | 2.55 | 0.00 |
| Total Administrative Services | 373.46 | 372.65 | 374.48 | 390.43 | 413.80 | 390.82 | 355.62 | 353.05 | 379.33 | 372.21 |
| State Accounting Trust Accounts | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 1.00 |
| DNR/SPOC Insurance Trust | 373.46 | 372.65 | 374.48 | 390.43 | 413.80 | 390.82 | 355.62 | 353.05 | 381.33 | 373.21 |
| Total Administrative Services, Dept. of | | | | | | | | | | |
| Auditor of State | | | | | | | | | | |
| Auditor Of State | 102.56 | 106.38 | 102.04 | 102.10 | 106.33 | 103.70 | 99.64 | 102.22 | 103.00 | 103.00 |
| Auditor of State - General Office | | | | | | | | | | |
| Total Auditor of State | 102.56 | 106.38 | 102.04 | 102.10 | 106.33 | 103.70 | 99.64 | 102.22 | 103.00 | 103.00 |

Administration and Regulation

FTE Positions

| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | Actual FY 2011 | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 |
|---------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----------------------|--------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| Ethics and Campaign Disclosure | | | | | | | | | | |
| Campaign Finance Disclosure | 6.02 | 5.99 | 5.50 | 5.51 | 6.02 | 5.36 | 3.68 | 4.87 | 5.00 | 5.00 |
| Ethics & Campaign Disclosure Board | 6.02 | 5.99 | 5.50 | 5.51 | 6.02 | 5.36 | 3.68 | 4.87 | 5.00 | 5.00 |
| Total Ethics and Campaign Disclosure | | | | | | | | | | |
| Commerce, Dept. of | | | | | | | | | | |
| Alcoholic Beverages | | | | | | | | | | |
| Alcoholic Beverages Operations | 30.51 | 31.44 | 29.12 | 26.49 | 26.53 | 23.35 | 20.06 | 16.05 | 15.00 | 15.00 |
| Liquor Control Act Fund | 17.97 | 18.14 | 25.29 | 33.15 | 35.57 | 40.78 | 49.46 | 58.99 | 55.35 | 55.35 |
| Total Alcoholic Beverages | 48.49 | 49.38 | 54.40 | 59.63 | 62.10 | 64.13 | 69.52 | 75.05 | 70.35 | 70.35 |
| Professional Licensing and Reg. | | | | | | | | | | |
| Professional Licensing Bureau | 10.04 | 11.08 | 12.78 | 12.78 | 13.30 | 11.60 | 11.17 | 9.64 | 12.50 | 12.50 |
| Real Estate Education Fund | 0.00 | 0.00 | 0.76 | 0.94 | 0.33 | 0.81 | 0.75 | 1.60 | 0.00 | 0.00 |
| Total Professional Licensing and Reg. | 10.04 | 11.08 | 13.55 | 13.73 | 13.63 | 12.41 | 11.92 | 11.24 | 12.50 | 12.50 |
| Banking Division | | | | | | | | | | |
| Banking Division | 61.24 | 62.48 | 64.38 | 66.32 | 66.00 | 67.35 | 67.87 | 0.00 | 0.00 | 0.00 |
| Banking Division - CMRF | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 68.50 | 74.50 |
| Total Banking Division | 61.24 | 62.48 | 64.38 | 66.32 | 67.35 | 67.87 | 70.53 | 68.48 | 68.50 | 74.50 |
| Credit Union Division | | | | | | | | | | |
| Credit Union Division | 14.27 | 15.90 | 14.65 | 16.61 | 16.61 | 14.98 | 14.05 | 14.03 | 13.25 | 14.00 |
| Credit Union Division - CMRF | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 16.61 | 14.98 | 14.05 | 14.00 | 14.00 |
| Total Credit Union Division | 14.27 | 15.90 | 14.65 | 16.61 | 16.61 | 14.98 | 14.05 | 14.03 | 13.25 | 14.00 |
| Insurance Division | | | | | | | | | | |
| Insurance Division - CMRF | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 95.11 | 94.23 | 92.62 | 96.37 | 100.15 |
| Insurance Division Education Fund | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.17 | 0.70 |
| Insurance Division Regulatory | 86.39 | 89.80 | 89.75 | 90.09 | 90.09 | 90.09 | 90.09 | 90.09 | 0.00 | 1.66 |
| Insurance Division | 86.39 | 89.80 | 89.75 | 90.09 | 90.09 | 95.11 | 94.23 | 92.62 | 96.98 | 0.00 |
| Total Insurance Division | | | | | | | | | 102.50 | 102.50 |
| Utilities Division | | | | | | | | | | |
| Utilities Division | 69.55 | 69.24 | 68.38 | 69.96 | 69.96 | 0.00 | 0.03 | 0.00 | 0.00 | 0.00 |
| Utilities Division - CMRF | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 68.15 | 63.82 | 64.78 | 63.23 | 79.00 |
| Total Utilities Division | 69.55 | 69.24 | 68.38 | 69.96 | 69.96 | 68.15 | 63.82 | 64.78 | 63.23 | 79.00 |
| Total Commerce, Dept. of | 289.98 | 298.08 | 305.12 | 316.33 | 316.33 | 316.54 | 323.39 | 328.22 | 346.85 | 352.85 |

Administration and Regulation

FTE Positions

| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | Actual FY 2011 | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----------------------|--------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| Governor | | | | | | | | | | |
| Governor's Office | | | | | | | | | | |
| Governor/Lt. Governor's Office | 17.20 | 18.02 | 17.75 | 20.33 | 21.41 | 20.19 | 19.39 | 24.07 | 23.00 | 23.00 |
| Terrace Hill Quarters | 8.06 | 7.87 | 7.62 | 9.54 | 9.81 | 8.62 | 1.79 | 0.11 | 1.93 | 0.00 |
| Statewide Volunteer Program | 1.52 | 1.77 | 1.78 | 1.99 | 2.01 | 1.95 | 0.74 | 0.00 | 0.00 | 0.00 |
| Administrative Rules Coordinator | 2.79 | 2.95 | 3.01 | 3.01 | 2.42 | 1.83 | 1.77 | 0.12 | 0.00 | 0.00 |
| State-Federal Relations | 2.01 | 2.01 | 1.41 | 2.01 | 2.94 | 2.26 | 1.46 | 0.12 | 0.00 | 0.00 |
| Total Governor's Office | 31.58 | 32.61 | 31.57 | 36.87 | 38.59 | 34.85 | 25.17 | 24.41 | 24.93 | 23.00 |
| Governor Elect Expenses | 0.00 | 0.00 | 1.21 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Governor Elect Expenses | 0.00 | 0.00 | 32.61 | 32.79 | 36.87 | 38.59 | 34.85 | 25.17 | 24.41 | 24.93 |
| Total Governor | 31.58 | 32.61 | | | | | | | | |
| Governor's Office of Drug Control Policy | | | | | | | | | | |
| Office of Drug Control Policy | 8.61 | 7.49 | 6.99 | 6.73 | 5.76 | 7.74 | 8.03 | 7.52 | 4.00 | 4.00 |
| Drug Policy Coordinator | 0.00 | 0.00 | 0.00 | 1.07 | 2.27 | 0.10 | 0.00 | 0.00 | 0.00 | 0.00 |
| Drug Task Forces | 8.61 | 7.49 | 6.99 | 7.80 | 8.03 | 7.84 | 8.03 | 7.52 | 4.00 | 4.00 |
| Total Governor's Office of Drug Control Policy | | | | | | | | | | |

Administration and Regulation

FTE Positions

| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | Actual FY 2011 | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 |
|-------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----------------------|--------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| Human Rights, Dept. of | | | | | | | | | | |
| Human Rights, Department of | | | | | | | | | | |
| Human Rights Administration | 6.91 | 7.03 | 6.95 | 6.84 | 6.91 | 6.57 | 4.86 | 5.28 | 5.56 | 5.65 |
| Community Advocacy and Services | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.16 | 10.32 | 7.14 | 9.36 | 9.62 |
| Criminal & Juvenile Justice | 8.73 | 8.86 | 9.32 | 10.51 | 12.35 | 11.28 | 10.47 | 9.95 | 9.81 | 9.81 |
| Public Safety Advisory Board - USJT | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Weatherization - D.O.E. | 6.12 | 6.11 | 5.91 | 5.66 | 5.80 | 7.51 | 7.54 | 7.79 | 7.20 | 7.20 |
| Justice Assistance Grants | 4.94 | 5.48 | 3.14 | 6.27 | 4.58 | 4.86 | 2.23 | 3.29 | 3.62 | 3.62 |
| Juvenile Accountability Block | 0.18 | 0.51 | 1.53 | 0.65 | 0.83 | 0.00 | 0.67 | 0.31 | 1.35 | 1.44 |
| Community Grant Fund | 0.23 | 0.06 | 0.07 | 0.03 | 0.00 | 0.00 | 0.02 | 0.04 | 0.00 | 0.00 |
| Status of Women Federal Grants | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 0.98 | 1.03 | 0.97 | 0.46 | 0.46 |
| Juvenile Justice Action Grants | 0.00 | 0.39 | 0.62 | 0.01 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Juvenile Justice Advisory Council | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.35 | 0.35 |
| Oil Overcharge Weatherization | 0.25 | 0.10 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Low Income Energy Assistance | 3.21 | 3.11 | 3.00 | 2.99 | 2.93 | 2.79 | 3.01 | 3.00 | 2.99 | 2.99 |
| Weatherization - HHS (LEAP) | 0.00 | 0.00 | 0.08 | 0.37 | 0.26 | 0.00 | 0.00 | 0.00 | 0.55 | 0.55 |
| Juvenile Accountability | 1.71 | 1.36 | 0.63 | 0.34 | 0.69 | 0.43 | 0.12 | 1.00 | 0.09 | 0.00 |
| CSBG - Community Action Agency | 5.48 | 5.23 | 4.58 | 4.02 | 4.06 | 4.63 | 4.71 | 4.26 | 4.26 | 4.26 |
| Disability Donations & Grants | 0.85 | 1.44 | 1.40 | 1.07 | 1.02 | 1.41 | 1.41 | 1.31 | 1.40 | 1.05 |
| Asian and Pacific Islanders | 0.00 | 0.00 | 0.82 | 1.40 | 1.54 | 0.97 | 0.00 | 0.00 | 0.00 | 0.00 |
| Deaf Services | 5.32 | 5.39 | 4.73 | 4.47 | 4.48 | 4.21 | 0.00 | 0.00 | 0.00 | 0.00 |
| Persons with Disabilities | 3.22 | 3.01 | 2.96 | 2.89 | 2.99 | 2.93 | 0.21 | 0.00 | 0.00 | 0.00 |
| Latino Affairs | 2.77 | 2.17 | 2.99 | 2.97 | 2.09 | 0.97 | 0.00 | 0.00 | 0.00 | 0.00 |
| Status of Women | 3.00 | 3.00 | 2.69 | 2.54 | 3.00 | 2.37 | 0.00 | 0.00 | 0.00 | 0.00 |
| Status of African Americans | 2.01 | 1.96 | 2.01 | 2.67 | 1.98 | 1.90 | 0.00 | 0.00 | 0.00 | 0.00 |
| Total Human Rights, Dept. of | 55.94 | 56.21 | 54.41 | 56.74 | 56.51 | 53.97 | 47.91 | 44.32 | 47.00 | 47.00 |

Administration and Regulation

FTE Positions

| | Actual FY 2005 <u>(1)</u> | Actual FY 2006 <u>(2)</u> | Actual FY 2007 <u>(3)</u> | Actual FY 2008 <u>(4)</u> | Actual FY 2009 <u>(5)</u> | Actual FY 2010 <u>(6)</u> | Actual FY 2011 <u>(7)</u> | Actual FY 2012 <u>(8)</u> | Estimated FY 2013 <u>(9)</u> | Gov Rec FY 2014 <u>(10)</u> |
|---|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|------------------------------------|-----------------------------------|
| Inspections & Appeals, Dept. of | | | | | | | | | | |
| Inspections and Appeals, Dept. of Administration Division | 32.26 | 33.10 | 37.72 | 39.03 | 38.60 | 34.59 | 35.73 | 33.76 | 11.90 | 13.65 |
| Administrative Hearings Division | 22.39 | 23.16 | 23.22 | 23.26 | 23.57 | 21.79 | 22.51 | 22.96 | 23.00 | 23.00 |
| Investigations Division | 40.99 | 45.21 | 46.92 | 48.02 | 49.19 | 45.79 | 52.17 | 53.57 | 57.50 | 61.50 |
| Health Facilities Division | 109.62 | 113.16 | 117.21 | 127.47 | 133.13 | 129.01 | 121.92 | 118.95 | 115.75 | 113.00 |
| Employment Appeal Board | 12.66 | 13.97 | 13.92 | 13.98 | 14.04 | 13.42 | 13.67 | 13.93 | 11.00 | 11.00 |
| Child Advocacy Board | 35.19 | 35.04 | 35.59 | 39.71 | 40.21 | 39.30 | 37.05 | 31.75 | 32.25 | 32.25 |
| Indian Gaming Monitoring Fund | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.68 | 0.66 | 1.05 | 1.10 | 1.10 |
| Amusement Devices Special Fund | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.45 | 2.55 | 2.55 |
| Inspections Division | 0.05 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Food and Consumer Safety | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.48 | 23.25 | 23.25 |
| Total Inspections and Appeals, Dept. of | 253.17 | 263.63 | 274.58 | 291.46 | 298.74 | 284.58 | 283.71 | 276.89 | 278.25 | 281.30 |
| Racing Commission | | | | | | | | | | |
| Pari-Mutuel Regulation Fund | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 22.76 | 32.03 | 32.03 |
| Pari-Mutuel Regulation | 23.73 | 25.51 | 24.60 | 25.93 | 26.00 | 24.14 | 23.40 | 0.00 | 0.00 | 0.00 |
| Riverboat Regulation Fund | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 37.52 | 40.72 | 40.72 |
| Riverboat Regulation | 27.75 | 32.50 | 37.28 | 37.59 | 36.97 | 36.46 | 36.92 | 0.00 | 0.00 | 0.00 |
| Total Racing Commission | 51.48 | 58.00 | 61.88 | 63.51 | 62.97 | 60.59 | 60.32 | 60.27 | 72.75 | 72.75 |
| Total Inspections & Appeals, Dept. of | 304.55 | 321.63 | 336.46 | 354.97 | 361.70 | 345.17 | 344.03 | 337.17 | 351.00 | 354.05 |
| Management, Dept. of | | | | | | | | | | |
| Management, Dept. of | | | | | | | | | | |
| Department Operations | 25.06 | 24.84 | 26.25 | 30.63 | 30.56 | 26.36 | 21.13 | 21.04 | 21.00 | 21.00 |
| Salary Model Administrator | 0.96 | 1.00 | 1.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Performance Audits | 0.00 | 0.31 | 1.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| DOM - LEAN/Process Improvement | 0.00 | 0.00 | 0.27 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Total Management, Dept. of | 26.02 | 26.16 | 28.51 | 30.63 | 30.56 | 26.36 | 21.13 | 21.04 | 21.00 | 21.00 |

Administration and Regulation

FTE Positions

| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | Actual FY 2011 | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----------------------|--------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| Revenue, Dept. of | | | | | | | | | | |
| Revenue, Dept. of Tax Gap Collections | 0.00 | 26.96 | 0.00 | 26.97 | 26.90 | 38.39 | 43.81 | 60.19 | 64.47 | 66.76 |
| Revenue, Department of Revenue Examiners | 376.71 | 357.35 | 377.09 | 365.68 | 369.01 | 322.98 | 262.97 | 240.80 | 244.53 | 242.24 |
| Total Revenue, Dept. of | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 3.50 | 0.00 | 0.00 | 0.00 |
| Rebuild Iowa Office | 376.71 | 384.32 | 377.09 | 392.65 | 395.81 | 361.37 | 310.28 | 301.00 | 309.00 | 309.00 |
| Rebuild Iowa Office | 0.00 | 0.00 | 0.00 | 0.00 | 0.05 | 0.05 | 9.95 | 10.86 | 0.00 | 0.00 |
| Rebuild Iowa Office | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 9.95 | 10.86 | 0.00 | 0.00 |
| Total Rebuild Iowa Office | 0.00 | 0.00 | 0.00 | 0.00 | 0.05 | 0.05 | 9.95 | 10.86 | 0.00 | 0.00 |
| Iowa Lottery Authority | 110.55 | 112.76 | 111.99 | 111.54 | 110.05 | 106.57 | 102.61 | 107.36 | 109.50 | 109.50 |
| Lottery Authority | 110.55 | 112.76 | 111.99 | 111.54 | 110.05 | 106.57 | 102.61 | 107.36 | 109.50 | 109.50 |
| Total Iowa Lottery Authority | 110.55 | 112.76 | 111.99 | 111.54 | 110.05 | 106.57 | 102.61 | 107.36 | 109.50 | 109.50 |
| Secretary of State | | | | | | | | | | |
| Secretary of State | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 1.00 |
| State Election Fund | 9.93 | 11.44 | 9.69 | 13.50 | 13.78 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 |
| Admin/Elections/Voter Registration | 28.63 | 28.49 | 27.80 | 22.77 | 23.39 | 35.05 | 31.22 | 30.47 | 31.00 | 29.00 |
| Secretary of State - Operations | 38.56 | 39.93 | 37.49 | 36.27 | 37.16 | 35.05 | 31.22 | 30.47 | 32.00 | 31.00 |
| Total Secretary of State | 38.56 | 39.93 | 37.49 | 36.27 | 37.16 | 35.05 | 31.22 | 30.47 | 32.00 | 31.00 |
| Treasurer of State | 22.69 | 24.14 | 25.46 | 24.50 | 25.36 | 26.13 | 26.79 | 28.13 | 28.80 | 28.80 |
| Treasurer - General Office | 22.69 | 24.14 | 25.46 | 24.50 | 25.36 | 26.13 | 26.79 | 28.13 | 28.80 | 28.80 |
| Total Treasurer of State | 22.69 | 24.14 | 25.46 | 24.50 | 25.36 | 26.13 | 26.79 | 28.13 | 28.80 | 28.80 |

Administration and Regulation

FTE Positions

| | Actual FY 2005 | Actual FY 2006 | Actual FY 2007 | Actual FY 2008 | Actual FY 2009 | Actual FY 2010 | Actual FY 2011 | Actual FY 2012 | Estimated FY 2013 | Gov Rec FY 2014 |
|-------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----------------------|--------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| IPERS Administration | | | | | | | | | | |
| IPERS Administration | 85.87 | 86.28 | 84.78 | 81.93 | 78.67 | 79.18 | 82.11 | 80.73 | 90.13 | 90.13 |
| IPERS Administration | 85.87 | 86.28 | 84.78 | 81.93 | 78.67 | 79.18 | 82.11 | 80.73 | 90.13 | 90.13 |
| Total IPERS Administration | | | | | | | | | | |
| Public Information Board | | | | | | | | | | |
| Public Information Board | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 5.00 |
| Iowa Public Information Board | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 5.00 |
| Total Public Information Board | | | | | | | | | | |
| Total Administration and Regulation | 1,833.21 | 1,874.62 | 1,883.10 | 1,948.26 | 1,989.98 | 1,902.86 | 1,792.47 | 1,770.50 | 1,853.54 | 1,866.54 |

Sample of Budget Schedules 1 and 6

Appendix E

Schedule 6 Example

The Schedule 6 shows all of the resources for a particular budget unit, including appropriations, federal funds, etc.

STATE OF IOWA

Fiscal Year 2014 Annual Budget

SPECIAL DEPARTMENT: (660) Natural Resources, Department of
Budget Unit: (542G720001) GF-Natural Resources Operations
Schedule 6

| Resources | Fiscal Year 2012 Actual | Fiscal Year 2013 Estimated | Fiscal Year 2014 Department Request | | Fiscal Year 2014 Governor's Recomm |
|------------------------------------|------------------------------|-------------------------------|--|------------------------------|--|
| | | | | | |
| Appropriations | \$ 12,266,688 | \$ 12,516,700 | \$ 12,516,700 | \$ 12,516,700 | \$ 12,516,700 |
| Receipts | | | | | |
| Federal Support | 25,074,138 | 26,587,773 | 26,587,773 | 26,587,773 | 26,587,773 |
| Intra State Receipts | 80,633,837 | 84,845,983 | 84,845,983 | 84,845,983 | 84,845,983 |
| Reimbursement from Other Agencies | 5,291 | 0 | 0 | 0 | 0 |
| Gov Fund Type Transfers - Other | 825,144 | 46,100 | 46,100 | 46,100 | 46,100 |
| Refunds & Reimbursements | 3,125,485 | 1,508,819 | 1,508,819 | 1,508,819 | 1,508,819 |
| Other Sales & Services | 1,746 | 3,500 | 3,500 | 3,500 | 3,500 |
| Unearned Receipts | 441,359 | 0 | 0 | 0 | 0 |
| Other | 6,519 | 0 | 0 | 0 | 0 |
| Total Resources | <u>\$ 110,113,519</u> | <u>\$ 112,992,175</u> | <u>\$ 112,992,175</u> | <u>\$ 112,992,175</u> | <u>\$ 112,992,175</u> |
| | <u><u>\$ 122,380,207</u></u> | <u><u>\$ 125,508,875</u></u> | <u><u>\$ 125,508,875</u></u> | <u><u>\$ 125,508,875</u></u> | <u><u>\$ 125,508,875</u></u> |
| FTE | <u>1,014.82</u> | <u>1,109.95</u> | <u>1,109.95</u> | <u>1,109.95</u> | <u>1,109.95</u> |
| Disposition of Resources | | | | | |
| Personal Services-Salaries | \$ 84,077,774 | \$ 89,173,501 | \$ 89,173,501 | \$ 89,173,501 | \$ 89,173,501 |
| Personal Travel In State | 658,791 | 1,030,669 | 1,030,669 | 1,030,669 | 1,030,669 |
| State Vehicle Operation | 2,628,483 | 2,240,005 | 2,240,005 | 2,240,005 | 2,240,005 |
| Depreciation | 2,037,067 | 2,162,715 | 2,162,715 | 2,162,715 | 2,162,715 |
| Personal Travel Out of State | 193,574 | 332,330 | 332,330 | 332,330 | 332,330 |
| Office Supplies | 321,055 | 499,978 | 499,978 | 499,978 | 499,978 |
| Facility Maintenance Supplies | 938,767 | 908,052 | 908,052 | 908,052 | 908,052 |
| Equipment Maintenance Supplies | 1,594,098 | 1,232,237 | 1,232,237 | 1,232,237 | 1,232,237 |
| Professional & Scientific Supplies | 26,378 | 1,71,750 | 1,71,750 | 1,71,750 | 1,71,750 |

A Schedule 6 provides a detailed budget for all appropriated accounts or Funds under the control of the Department. Receipts includes the appropriation, the salary adjustment (if applicable), across-the-board reductions, supplemental appropriations, intra-state receipts from other agencies, receipts from local governments, and other receipts such as fees. Expenditures include all expenses related to the operating budget, such as salary, travel, contracts, etc. Expenditures also include the reversion or balance brought forward.

Budget schedules are available at: <https://www.legis.iowa.gov/LSAReports/relateddocSchedules.aspx>

Schedule 1 Example

The Schedule 1 shows the “decision packages” used by the Executive Branch to arrive at the Department’s annual budget request (dollars and FTE positions) and the Governor’s recommendations for a particular budget unit.

STATE OF IOWA
Fiscal Year 2014 Annual Budget
SPECIAL DEPARTMENT: (040) Agriculture and Land Stewardship
Budget Unit: (009G410001) GF-Administrative Division

Schedule 1

| Rank | Description | Funding Source | Fiscal Year 2014 Department Request | Fiscal Year 2014 Governor's Recommendations | Fiscal Year 2014 Department Request | Fiscal Year 2014 Governor's Recommendations |
|----------------------------------|------------------------------|----------------------|--|---|--|---|
| - | Base | Appropriation FTE | \$ 17,081,328 304.21 | \$ 17,081,328 304.21 | \$ 17,081,328 304.21 | \$ 17,081,328 304.21 |
| 0001 | Salary and Benefit Increases | Appropriation | 623,050 | 0 | 623,050 | 0 |
| Total Budget Unit Funding | | | | | | |
| Appropriation | | | \$ 17,081,328 | \$ 17,081,328 | \$ 17,081,328 | \$ 17,081,328 |
| Total FTE | | | 304.21 | 304.21 | 304.21 | 304.21 |

A Schedule 1 provides a summary of funding available for a Department. The Schedule shows both General Fund and total funds plus FTE positions. The Schedule shows, by priority, any changes to current law (or budget).

Appendix F

FY 2012 Year-End Appropriations

FY 2012 Appropriation Activity

The following information provides a summary of the FY 2012 General Fund and non-General Fund appropriations for the departments under the purview of the Administration and Regulation Appropriations Subcommittee. Appropriations are adjusted for several factors throughout the fiscal year, including supplemental appropriations, deappropriations, and adjustments to standing appropriations to account for actual expenditures. Other activity associated with appropriated funds include: balances brought forward, transfers, and reversions. The tables show each of the departments' appropriations and the changes that occurred throughout the fiscal year. The changes include:

- Original Appropriation: The amounts appropriated from the General Fund in individual appropriation bills during the 2011 Legislative Session.
- Adjustments to Standings: These adjustments represent changes that are made to budgeted standing unlimited appropriations for the purpose of balancing out the year-end amount. There are numerous standing unlimited appropriations established in the Code. The exact amount for each of these appropriations is not known until the close of the fiscal year. As the General Assembly develops the annual budget, an estimated amount is included for budgeting purposes. This estimated appropriation is then adjusted to reflect actual expenditures.
- Salary Adjustment (Other Funds Only) – A few non-General Fund appropriation were authorized to receive appropriation adjustments to fund their salary increases for FY 2012.
- Supplemental/Deappropriations: These changes represent the supplemental appropriations and deappropriations enacted in several bills during the 2012 Legislative Session.
- Total Net Appropriation: This is the sum of all of the above numbers and represents the final appropriation amount after the above legislative changes were applied.
- Balance Brought Forward: Appropriated funds allowed to carry forward from FY 2011 to FY 2012. These funds provided additional spendable dollars for FY 2012.
- Appropriation Transfers In and Out: These adjustments represent transferred appropriation spending authority between enacted appropriations. These transfers are usually implemented by the Governor through the authority of Iowa Code section 8.39.
- Balance Carry Forward: Appropriated funds that are allowed to carry forward from FY 2012 to FY 2013. Provides additional spendable dollars for FY 2013.
- Reversions: These are the unspent appropriated funds that revert back to the fund from which they were appropriated.
- Total Appropriation Expended: This number represents the appropriation after all of the above adjustments have been made. The result is the total appropriated funds that were expended in FY 2012.

GENERAL FUND APPROPRIATIONS

| FY 2012 General Fund Appropriation Activity | | | | | | | | | |
|--|-------------------------|---------------------|----------------|-------------------------|--------------------------|--------------|---------------------------|--------------------|----------------------|
| Department of Administrative Services | | | | | | | | | |
| Appropriation Name | Original Approp | Adjust to Standings | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Transfers In | Transfers Out to FY 2013 | Reversions | Approp Expended |
| Technology Procurement | \$ 3,277,946 | 0 \$ | 0 \$ | 0 \$ | 1,455,251 \$ | 0 \$ | 0 \$ -1,297,485 \$ | 0 \$ | 157,766 |
| I3 Distribution | 995,535 | 0 | 0 | 3,277,946 | 0 | 0 | 0 | 0 | 3,277,946 |
| Iowa Building Operations | 4,020,344 | 0 | 0 | 995,535 | 0 | 0 | 0 | -400,535 | 194,464 |
| Administrative Services, Dept. | 2,626,460 | 0 | 0 | 4,020,344 | 15,410 | 0 | 0 | -69,818 | 3,896,118 |
| Utilities | 405,914 | 0 | 0 | 2,626,460 | 594,968 | 0 | 0 | -450,832 | 0 |
| Terrace Hill Operations | | | | | | | | -11,143 | 383,628 |
| Total | \$ 11,326,199 \$ | 0 \$ | 0 \$ | \$ 11,326,199 \$ | 2,065,629 \$ | 0 \$ | 0 \$ -2,229,813 \$ | -481,496 \$ | \$ 10,680,518 |
| <hr/> | | | | | | | | | |
| Standing Appropriations | | | | | | | | | |
| Federal Cash Management | \$ 356,587 \$ | -346,643 \$ | 0 \$ | 9,944 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | 9,944 |
| Volunteer EMS Provider Death Benefit | 0 | 100,000 | 0 | 100,000 | 0 | 0 | 0 | 0 | 100,000 |
| Unemployment Compensation | 440,371 | 169,552 | 0 | 609,923 | 0 | 0 | 0 | 0 | 609,923 |
| Municipal Fire & Police Retirement | 750,000 | 0 | 0 | 750,000 | 0 | 0 | 0 | 0 | 750,000 |
| Total Standing Appropriations | \$ 1,546,958 \$ | -77,091 \$ | 0 \$ | 1,469,867 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | 1,469,867 |
| Total Appropriations | \$ 12,873,157 \$ | -77,091 \$ | 0 \$ | \$ 12,796,066 \$ | 2,065,629 \$ | 0 \$ | 0 \$ -2,229,813 \$ | -481,496 \$ | \$ 12,150,386 |
| <hr/> | | | | | | | | | |
| FY 2012 General Fund Appropriation Activity | | | | | | | | | |
| Auditor of State | | | | | | | | | |
| Appropriation Name | Original Approp | Adjust to Standings | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Transfers In | Transfers Out to FY 2013 | Reversions | Approp Expended |
| Auditor of State | \$ 905,468 \$ | 0 \$ | 0 \$ | 905,468 \$ | 364 \$ | 0 \$ | 0 \$ | -1,961 \$ | 903,871 |
| Total | \$ 905,468 \$ | 0 \$ | 0 \$ | 905,468 \$ | 364 \$ | 0 \$ | 0 \$ -1,961 \$ | 903,871 | |

GENERAL FUND APPROPRIATIONS

| FY 2012 General Fund Appropriation Activity Iowa Ethics and Campaign Disclosure | | | | | | | | | |
|--|-----------------|---------------------|----------------|------------|-------------|------|------|------------|--------------------|
| Appropriation Name | Original Approp | Adjust to Standings | Supp/ Deapprop | Net | Bal Forward | | | | |
| Ethics and Campaign Disclosure | \$ 475,000 \$ | 0 \$ | 0 \$ | 475,000 \$ | 76,473 \$ | 0 \$ | 0 \$ | -22,846 \$ | -29,877 \$ 498,750 |
| Total | \$ 475,000 \$ | 0 \$ | 0 \$ | 475,000 \$ | 76,473 \$ | 0 \$ | 0 \$ | -22,846 \$ | -29,877 \$ 498,750 |

| FY 2012 General Fund Appropriation Activity Department of Commerce | | | | | | | | | |
|---|-----------------|---------------------|-------------------|------|-------------|------|------|------------|----------------------|
| Appropriation Name | Original Approp | Adjust to Standings | Supp/ Deapprop | Net | Bal Forward | | | | |
| Alcoholic Beverages Operations | \$ 1,220,391 \$ | 0 \$ | 0 \$ 1,220,391 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | -67,655 \$ | -67,655 \$ 1,085,082 |
| Professional Licensing Bureau | 600,353 | 0 | 0 600,353 | 0 | 0 | 0 | 0 | -4,803 | -4,803 590,748 |
| Total | \$ 1,820,744 \$ | 0 \$ | 0 \$ 1,820,744 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | -72,457 \$ | -72,457 \$ 1,675,829 |

| FY 2012 General Fund Appropriation Activity Office of the Governor and Lt. Governor | | | | | | | | | |
|--|-----------------|---------------------|-------------------|------|-------------|------|------|------------|----------------------|
| Appropriation Name | Original Approp | Adjust to Standings | Supp/ Deapprop | Net | Bal Forward | | | | |
| Governor/Lt. Governor's Office | \$ 2,288,025 \$ | 0 \$ | 0 \$ 2,288,025 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | -54,958 \$ | -54,958 \$ 2,178,109 |
| State-Federal Relations | 0 | 0 | 0 0 | 0 | 0 | 0 | 0 | -422 | -422 |
| Total | \$ 2,288,025 \$ | 0 \$ | 0 \$ 2,288,025 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | -54,958 \$ | -54,958 \$ 2,177,687 |
| Standing Appropriations | | | | | | | | | |
| Interstate Extradition | \$ 3,032 \$ | -3,032 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ 0 |
| Total Standing Appropriations | \$ 3,032 \$ | -3,032 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ 0 |
| Total Appropriations | \$ 2,291,057 \$ | -3,032 \$ | 0 \$ 2,288,025 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | -54,958 \$ | -54,958 \$ 2,177,687 |

GENERAL FUND APPROPRIATIONS

| FY 2012 General Fund Appropriation Activity | | | | | | | | | |
|---|-----------------|---------------------|----------------|---------------|--------------------------|--------------|---------------|------------------------|----------------|
| Office of Drug Control Policy | | | | | | | | | |
| Appropriation Name | Original Approp | Adjust to Standings | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Transfers In | Transfers Out | Bal Forward to FY 2013 | Reversions |
| Gov's Office of Drug Control Policy | \$ 290,000 \$ | 0 \$ | 0 \$ | \$ 290,000 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | -40 \$ 289,960 |
| Total | \$ 290,000 \$ | 0 \$ | 0 \$ | \$ 290,000 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | -40 \$ 289,960 |

| FY 2012 General Fund Appropriation Activity | | | | | | | | | |
|---|-----------------|---------------------|----------------|-----------------|--------------------------|--------------|---------------|------------------------|-----------------------|
| Department of Human Rights | | | | | | | | | |
| Appropriation Name | Original Approp | Adjust to Standings | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Transfers In | Transfers Out | Bal Forward to FY 2013 | Reversions |
| Human Rights Administration | \$ 206,103 \$ | 0 \$ | 0 \$ | \$ 206,103 \$ | 4,382 \$ | 0 \$ | 0 \$ | -2,507 \$ | -2,586 \$ 205,392 |
| Community Advocacy and Services | 1,028,077 | 0 | 0 | 1,028,077 | 55,996 | 0 | 0 | -94,918 | -115,536 873,620 |
| Criminal & Juvenile Justice | 1,023,892 | 0 | 0 | 1,023,892 | 405 | 0 | 0 | -626 | -674 1,022,996 |
| Total | \$ 2,258,072 \$ | 0 \$ | 0 \$ | \$ 2,258,072 \$ | 60,783 \$ | 0 \$ | 0 \$ | -98,051 \$ | -118,796 \$ 2,102,007 |

| FY 2012 General Fund Appropriation Activity | | | | | | | | | |
|---|-----------------|---------------------|----------------|-----------------|--------------------------|--------------|---------------|------------------------|-----------------------|
| Department of Inspections and Appeals | | | | | | | | | |
| Appropriation Name | Original Approp | Adjust to Standings | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Transfers In | Transfers Out | Bal Forward to FY 2013 | Reversions |
| Child Advocacy Board | \$ 2,680,290 \$ | 0 \$ | 0 \$ | \$ 2,680,290 \$ | 36,637 \$ | 0 \$ | 0 \$ | -63,950 \$ | -67,641 \$ 2,585,336 |
| Employment Appeal Board | 42,215 | 0 | 0 | 42,215 | 6,666 | 0 | 0 | -108 | -108 48,666 |
| Administration Division | 1,527,740 | 0 | 0 | 1,527,740 | 81,361 | 0 | 0 | -23,987 | -57,358 1,527,756 |
| Administrative Hearings Div. | 528,753 | 0 | 0 | 528,753 | 33,332 | 0 | 0 | -75,078 | -85,104 401,903 |
| Investigations Division | 1,168,639 | 0 | 0 | 1,168,639 | 120,567 | 0 | 0 | -65,747 | -104,842 1,118,617 |
| Health Facilities Division | 3,555,328 | 0 | 0 | 3,555,328 | 0 | 0 | 0 | -224,178 | -224,177 3,106,973 |
| Total | \$ 9,502,965 \$ | 0 \$ | 0 \$ | \$ 9,502,965 \$ | 278,563 \$ | 0 \$ | 0 \$ | -453,047 \$ | -539,230 \$ 8,789,251 |

GENERAL FUND APPROPRIATIONS

| FY 2012 General Fund Appropriation Activity | | | | | | | | | |
|---|-----------------|--------------------|----------------|---------------|--------------------------|--------------|---------------|------------------------|----------------------------|
| Department of Management | | | | | | | | | |
| Appropriation Name | Original Approp | Adjust to Standing | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Transfers In | Transfers Out | Bal Forward to FY 2013 | Reversions Approp Expended |
| Management Department Oper. | \$ 2,393,998 | \$ 0 | \$ 0 | \$ 2,393,998 | \$ 48,207 | \$ 0 | \$ 0 | \$ -31,612 | \$ -53,078 \$ 2,357,515 |
| Total | \$ 2,393,998 | \$ 0 | \$ 0 | \$ 2,393,998 | \$ 48,207 | \$ 0 | \$ 0 | \$ -31,612 | \$ -53,078 \$ 2,357,515 |
| Standing Appropriations | | | | | | | | | |
| Special Olympics Fund Appeal Board Claims | \$ 50,000 | \$ 0 | \$ 0 | \$ 50,000 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 50,000 |
| | 3,586,307 | 13,651,587 | 0 | 17,237,894 | 0 | 0 | 0 | 0 | 0 17,237,894 |
| Total Standing Appropriations | \$ 3,636,307 | \$ 13,651,587 | \$ 0 | \$ 17,287,894 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 \$ 17,287,894 |
| Total Appropriations | \$ 6,030,305 | \$ 13,651,587 | \$ 0 | \$ 19,681,892 | \$ 48,207 | \$ 0 | \$ 0 | \$ -31,612 | \$ -53,078 \$ 19,645,408 |

| FY 2012 General Fund Appropriation Activity | | | | | | | | | |
|---|-----------------|--------------------|----------------|----------------|--------------------------|--------------|---------------|------------------------|----------------------------|
| Department of Revenue | | | | | | | | | |
| Appropriation Name | Original Approp | Adjust to Standing | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Transfers In | Transfers Out | Bal Forward to FY 2013 | Reversions Approp Expended |
| Revenue, Department of | \$ 17,659,484 | \$ 0 | \$ 0 | \$ 17,659,484 | \$ 91,848 | \$ 0 | \$ 0 | \$ -88,323 | \$ 17,574,687 |
| Total | \$ 17,659,484 | \$ 0 | \$ 0 | \$ 17,659,484 | \$ 91,848 | \$ 0 | \$ 0 | \$ -88,323 | \$ 17,574,687 |
| Standing Appropriations | | | | | | | | | |
| Ag Land Tax Credit | \$ 32,395,131 | \$ 0 | \$ 0 | \$ 32,395,131 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ -632 \$ 32,394,499 |
| Printing Cigarette Stamps | 124,652 | 0 | 0 | 124,652 | 0 | 0 | 0 | 0 | 0 124,652 |
| Homestead Tax Credit Aid | 86,188,387 | 0 | 0 | 86,188,387 | 0 | 0 | 0 | 0 | -50,237 86,138,150 |
| Elderly & Disabled Property Tax Cre | 24,957,000 | -366,527 | 0 | 24,590,473 | 0 | 0 | 0 | 0 | 0 24,590,473 |
| Military Service Tax Refunds | 2,400,000 | -114,465 | 0 | 2,285,535 | 0 | 0 | 0 | 0 | 0 2,285,535 |
| Tobacco Reporting Requirements | 18,416 | 0 | 0 | 18,416 | 0 | 0 | 0 | 0 | 0 18,416 |
| Total Standing Appropriations | \$146,033,566 | \$ -480,991 | \$ 0 | \$ 145,602,595 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ -50,869 \$ 145,551,726 |
| Total Appropriations | \$163,713,070 | \$ -480,991 | \$ 0 | \$ 163,262,079 | \$ 91,848 | \$ 0 | \$ 0 | \$ -88,323 | \$ -139,191 \$ 163,126,413 |

GENERAL FUND APPROPRIATIONS

| FY 2012 General Fund Appropriation Activity | | | | | | | | | |
|---|-----------------|---------------------|----------------|--------------|--------------------------|--------------|---------------|------------------------|----------------------------|
| Secretary of State | | | | | | | | | |
| Appropriation Name | Original Approp | Adjust to Standings | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Transfers In | Transfers Out | Bal Forward to FY 2013 | Reversions Approp Expended |
| Secretary of State | \$ 2,895,585 \$ | 0 \$ | 0 \$ | 2,895,585 \$ | 3 \$ | 0 \$ | 0 \$ | -2,573 \$ | -2,573 \$ 2,890,443 |
| Total | \$ 2,895,585 \$ | 0 \$ | 0 \$ | 2,895,585 \$ | 3 \$ | 0 \$ | 0 \$ | -2,573 \$ | -2,573 \$ 2,890,443 |

| FY 2012 General Fund Appropriation Activity | | | | | | | | | |
|---|-----------------|---------------------|----------------|------------|--------------------------|--------------|---------------|------------------------|----------------------------|
| Treasurer of State | | | | | | | | | |
| Appropriation Name | Original Approp | Adjust to Standings | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Transfers In | Transfers Out | Bal Forward to FY 2013 | Reversions Approp Expended |
| Treasurer - General Office | \$ 854,289 \$ | 0 \$ | 0 \$ | 854,289 \$ | 17,959 \$ | 0 \$ | 0 \$ | -807 \$ | -807 \$ 870,635 |
| Total | \$ 854,289 \$ | 0 \$ | 0 \$ | 854,289 \$ | 17,959 \$ | 0 \$ | 0 \$ | -807 \$ | -807 \$ 870,635 |

| FY 2012 General Fund Appropriation Activity | | | | | | | | | |
|---|-----------------|---------------------|----------------|------------|--------------------------|--------------|--------------------|------------------------|----------------------------|
| Executive Council | | | | | | | | | |
| Standing Appropriations | Original Approp | Adjust to Standings | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Transfers In | Transfers Out | Bal Forward to FY 2013 | Reversions Approp Expended |
| Performance of Duty FY04 | \$ 0 \$ | 0 \$ | 0 \$ | 0 \$ | 4,950 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ 4,950 |
| Performance of Duty FY 06 | 0 | 0 | 0 | 0 | 1,969 | 0 | 0 | -1,969 | 0 0 |
| Performance of Duty FY 07 | 0 | 0 | 0 | 0 | 1,003,225 | 0 | 0 | -1,003,225 | 0 0 |
| Court Costs | 59,772 | 486,103 | 0 | 545,875 | 0 | 0 | 0 | 0 | 0 545,875 |
| Public Improvements | 39,848 | -39,848 | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 |
| Drainage Assessment | 20,227 | 147,566 | 0 | 167,793 | 0 | 0 | 0 | 0 | 0 167,793 |
| Performance of Duty FY 08 | 0 | 0 | 0 | 0 | 1,629,421 | 0 | 0 | -8,972,524 | 0 -7,343,103 |
| Performance of Duty FY 09 | 0 | 0 | 0 | 0 | 124,332 | 0 | 0 | -124,332 | 0 0 |
| Performance of Duty FY10 | 0 | 0 | 0 | 0 | 414,317 | 0 | 0 | -137,693 | 0 276,623 |
| Performance of Duty FY11 | 0 | 0 | 0 | 0 | 21,826,481 | 0 | 0 | -11,230,031 | 0 10,596,450 |
| Performance of Duty FY12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | -1,363,807 | 0 -1,363,807 |
| Total | \$ 119,847 \$ | 593,822 \$ | 0 \$ | 713,669 \$ | 25,004,595 \$ | 0 \$ | 0 \$-22,833,581 \$ | 0 \$ 2,884,683 | |

OTHER FUNDS APPROPRIATIONS

| FY 2012 Other Funds Appropriation Activity | | | | | | | | | |
|--|-------------------|-----------------|---------------------|---------------|----------------|------------|--------------------------|------------------------|-----------|
| Department of Administrative Services | | | | | | | | | |
| Appropriation Name | Funding Source | Original Approp | Adjust to Standings | Salary Adjust | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Bal Forward to FY 2013 | Revisions |
| Military Pay Differential | Cash Reserve Fund | \$ 0 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | 68,183 \$ | -68,183 \$ | 0 \$ |
| Total | | \$ 0 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | 68,183 \$ | -68,183 \$ | 0 \$ |

| FY 2012 Other Funds Appropriation Activity | | | | | | | | | |
|--|--------------------------|------------------|---------------------|---------------|----------------|---------------|--------------------------|------------------------|---------------|
| Department of Commerce | | | | | | | | | |
| Appropriation Name | Funding Source | Original Approp | Adjust to Standings | Salary Adjust | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Bal Forward to FY 2013 | Revisions |
| Banking Division | Commerce Revolving Fund | \$ 8,851,670 \$ | 0 \$ | 0 \$ | 0 \$ | 8,851,670 \$ | 0 \$ | 0 \$ | -405 \$ |
| Credit Union Division | Commerce Revolving Fund | 1,727,995 | 0 | 0 | 0 | 1,727,995 | 0 | 0 | -138,735 |
| Insurance Division | Commerce Revolving Fund | 4,983,244 | 0 | 0 | 0 | 4,983,244 | 0 | -500,000 | -5,902,041 |
| Housing Impr Fund Field Auditor | State Housing Trust Fund | 62,317 | 0 | 0 | 0 | 62,317 | 0 | 0 | 62,317 |
| Utilities Division | Commerce Revolving Fund | 8,173,069 | 0 | 0 | 0 | 8,173,069 | 1,527,489 | -2,136,650 | 0 |
| Total | | \$ 23,798,295 \$ | 0 \$ | 0 \$ | 0 \$ | 23,798,295 \$ | 1,527,489 \$ | -2,636,650 \$ | -6,041,181 \$ |
| | | | | | | | | | 16,647,953 |

| FY 2012 Other Funds Appropriation Activity | | | | | | | | | |
|--|----------------------------------|------------------|---------------------|---------------|----------------|---------------|--------------------------|------------------------|------------|
| Department of Inspections and Appeals | | | | | | | | | |
| Appropriation Name | Funding Source | Original Approp | Adjust to Standings | Salary Adjust | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Bal Forward to FY 2013 | Revisions |
| DIA - Med Fraud - Dependent Adult Abuse | Medicaid Fraud Account Fund | \$ 250,000 \$ | 0 \$ | 0 \$ | 0 \$ | 250,000 \$ | 0 \$ | 0 \$ | -55,717 \$ |
| Medicaid Fraud - EBT Investigations | Medicaid Fraud Account Fund | 119,070 | 0 | 0 | 0 | 119,070 | 0 | 0 | -36,576 |
| Med Fraud - Boarding Homes | Medicaid Fraud Account Fund | 119,480 | 0 | 0 | 0 | 119,480 | 0 | 0 | -21,238 |
| Med Fraud - Dependent Adult | Medicaid Fraud Account Fund | 885,262 | 0 | 0 | 0 | 885,262 | 0 | 0 | 885,262 |
| DIA - Med Fraud - Health Facilities | Medicaid Fraud Account Fund | 1,339,527 | 0 | 0 | 0 | 1,339,527 | 0 | 0 | -426,703 |
| Medicaid Fraud - Health Facilities | Medicaid Fraud Account Fund | 650,000 | 0 | 0 | 0 | 650,000 | 0 | 0 | 650,000 |
| DIA - Use Tax | Road Use Tax Fund | 1,623,897 | 0 | 0 | 0 | 1,623,897 | 0 | 0 | 1,623,897 |
| Pari-Mutuel | Racing and Gaming Revolving Fund | 2,511,440 | 0 | 117,079 | 0 | 2,628,519 | 0 | -2,631,909 | -3,390 |
| Riverboat | Racing and Gaming Revolving Fund | 3,078,100 | 0 | 116,144 | 0 | 3,194,244 | 0 | 0 | -3,195,975 |
| Total | | \$ 10,576,776 \$ | 0 \$ | 233,223 \$ | 0 \$ | 10,809,999 \$ | 0 \$ | 0 \$ | 4,441,880 |

OTHER FUNDS APPROPRIATIONS

| FY 2012 Other Funds Appropriation Activity | | | | | | | | | |
|--|--------------------|-----------------|---------------------|---------------|----------------|--------------|--------------------------|------------------------|-------------|
| Department of Revenue | | | | | | | | | |
| Appropriation Name | Funding Source | Original Approp | Adjust to Standings | Salary Adjust | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Bal Forward to FY 2013 | Reversions |
| Motor Veh Fuel Tx-Admin Approp | MVFT-Unapportioned | \$ 1,305,775 | \$ 0 | \$ 0 | \$ 0 | \$ 1,305,775 | \$ 0 | \$ 0 | \$ -560,548 |
| Total | | \$ 1,305,775 | \$ 0 | \$ 0 | \$ 0 | \$ 1,305,775 | \$ 0 | \$ 0 | \$ 745,227 |

| FY 2012 Other Funds Appropriation Activity | | | | | | | | | |
|--|-------------------|-----------------|---------------------|---------------|----------------|------------|--------------------------|------------------------|------------|
| Department of Management | | | | | | | | | |
| Appropriation Name | Funding Source | Original Approp | Adjust to Standings | Salary Adjust | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Bal Forward to FY 2013 | Reversions |
| DOM RUTF Appropriation | Road Use Tax Fund | \$ 56,000 | \$ 0 | \$ 0 | \$ 0 | \$ 56,000 | \$ 0 | \$ 0 | \$ 56,000 |
| Total | | \$ 56,000 | \$ 0 | \$ 0 | \$ 0 | \$ 56,000 | \$ 0 | \$ 0 | \$ 56,000 |

| FY 2012 Other Funds Appropriation Activity | | | | | | | | | |
|--|----------------|-----------------|---------------------|---------------|----------------|---------------|--------------------------|------------------------|---------------|
| Iowa Public Employees Retirement System | | | | | | | | | |
| Appropriation Name | Funding Source | Original Approp | Adjust to Standings | Salary Adjust | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Bal Forward to FY 2013 | Reversions |
| IPERS Administration | IPERS Fund | \$ 17,686,968 | \$ 0 | \$ 0 | \$ 0 | \$ 17,686,968 | \$ 0 | \$ 0 | \$ 16,380,188 |
| Total | | \$ 17,686,968 | \$ 0 | \$ 0 | \$ 0 | \$ 17,686,968 | \$ 0 | \$ 0 | \$ 16,380,188 |

| FY 2012 Other Funds Appropriation Activity | | | | | | | | | |
|--|--------------------------|-----------------|---------------------|---------------|----------------|------------|--------------------------|------------------------|------------|
| Secretary of State | | | | | | | | | |
| Appropriation Name | Funding Source | Original Approp | Adjust to Standings | Salary Adjust | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 | Bal Forward to FY 2013 | Reversions |
| Redistricting-lowAccess | IOWAccess Revolving Fund | \$ 75,000 | \$ 0 | \$ 0 | \$ 0 | \$ 75,000 | \$ 0 | \$ 0 | \$ 75,000 |
| Total | | \$ 75,000 | \$ 0 | \$ 0 | \$ 0 | \$ 75,000 | \$ 0 | \$ 0 | \$ 75,000 |

OTHER FUNDS APPROPRIATIONS

| FY 2012 Other Funds Appropriation Activity | | | | | | | | | |
|--|-------------------|---------------------|---------------------|---------------|----------------|-------------|-------------------------------------|------------------------|--------------------|
| Treasurer of State | | | | | | | | | |
| Appropriation Name | Funding Source | Original Approp | Adjust to Standings | Salary Adjust | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 to FY 2013 | Bal Forward Reversions | Approp Expended |
| Funds for 13 Expenses | Road Use Tax Fund | \$ 93,148 \$ | 0 \$ | 0 \$ | 0 \$ | 0 \$ | 93,148 \$ | 0 \$ | 0 \$ 93,148 |
| Total | | <u>\$ 93,148 \$</u> | <u>0 \$</u> | <u>0 \$</u> | <u>0 \$</u> | <u>0 \$</u> | <u>93,148 \$</u> | <u>0 \$</u> | <u>0 \$ 93,148</u> |

| FY 2012 Other Funds Appropriation Activity | | | | | | | | | |
|--|------------------------------|-------------------------|-----------------------|---------------|----------------|--------------------------|-------------------------------------|------------------------|-----------------------|
| Executive Council | | | | | | | | | |
| Standing Appropriation Name | Funding Source | Original Approp | Adjust to Standings | Salary Adjust | Supp/ Deapprop | Net Approp | Bal Forward from FY 2011 to FY 2013 | Bal Forward Reversions | Approp Expended |
| Performance Of Duty | Iowa Economic Emergency Fund | \$ 38,712,105 \$ | -30,663,374 \$ | 0 \$ | 0 \$ | 0 \$ 8,048,731 \$ | 0 \$ | 0 \$ 0 \$ | 0 \$ 8,048,731 |
| Total | | <u>\$ 38,712,105 \$</u> | <u>-30,663,374 \$</u> | <u>0 \$</u> | <u>0 \$</u> | <u>0 \$ 8,048,731 \$</u> | <u>0 \$</u> | <u>0 \$ 0 \$</u> | <u>0 \$ 8,048,731</u> |